

Program Semantics, according to Heisenberg and to Schrödinger

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Outline

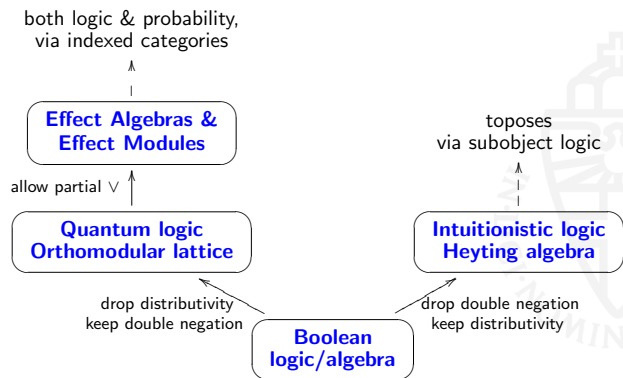
Introduction & overview
Computation and logic

Effect modules and convex sets

C*-algebras

Conclusions

From Boolean to intuitionistic & quantum logic



A bird's eye view on non-deterministic computation I

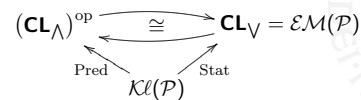
- Semantics of non-deterministic program is given by:
 - relations $R \subseteq X \times Y$, or, more categorically:
 - functions $X \rightarrow \mathcal{P}(Y)$, ie. maps in the **Kleisli category** $\mathcal{Kl}(\mathcal{P})$
- Full & faithful functor "from Kleisli to Eilenberg-Moore"
 - here: $\mathcal{Kl}(\mathcal{P}) \rightarrow \mathcal{EM}(\mathcal{P}) = \mathbf{CL}_V$
 - where \mathbf{CL}_V is complete lattices with join-preserving maps
- According to Dijkstra, each program $s: X \rightarrow \mathcal{P}(Y)$ gives **weakest precondition operation** $\text{wp}(s): \mathcal{P}(Y) \rightarrow \mathcal{P}(X)$
 - Explicitly, $\text{wp}(s)(Q) = \{x \mid s(x) \subseteq Q\}$
 - $\text{wp}(s)$ preserves meets, so is map in \mathbf{CL}_\wedge

A bird's eye view on non-deterministic computation II

There are bijective correspondences:

$$\begin{array}{l} X \xrightarrow{s} \mathcal{P}(Y) \\ \hline \mathcal{P}(X) \xrightarrow{\quad} \mathcal{P}(Y) \quad \vee\text{-preserving} \\ \hline \mathcal{P}(Y) \xrightarrow{\text{wp}(s)} \mathcal{P}(X) \quad \wedge\text{-preserving} \end{array}$$

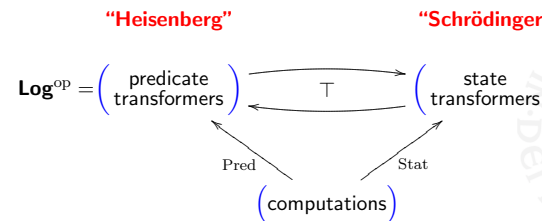
More categorically, there is a commuting diagram:



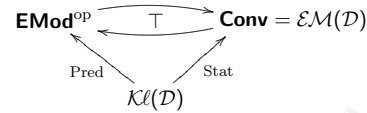
- The "predicate" and "state" functors Pred, Stat are f&f
- $\text{Pred}(s) = \text{wp}(s)$ = "substitution", for Kleisli maps $X \xrightarrow{s} \mathcal{P}(Y)$

State-effect triangles

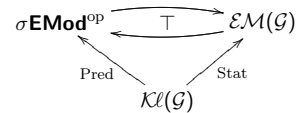
The general picture that emerges is:



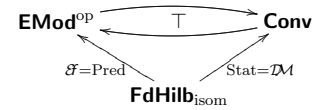
For the distribution monad \mathcal{D} : **Sets** \rightarrow **Sets**:



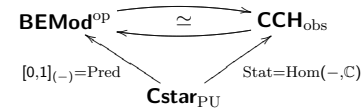
And for the Giry monad \mathcal{G} : **Meas** \rightarrow **Meas** (see LICS'13):



Using Hilbert spaces:



And using C^* -algebras (see later):



where **BEMod** contains complete “Banach” effect modules, and **CCH_{obs}** contains convex compact Hausdorff spaces.

Effect algebras generalise the unit interval $[0, 1]$ with its (partial!) addition $+$ and “negation” $x \mapsto 1 - x$.

A **Partial Commutative Monoid (PCM)** consists of a set M with zero $0 \in M$ and partial operation $\odot: M \times M \rightarrow M$, which is suitably commutative and associative. One writes $x \perp y$ if $x \odot y$ is defined.

An **effect algebra** is a PCM in which each element x has a unique ‘orthosupplement’ x^\perp with $x \odot x^\perp = 1 (= 0^\perp)$. Additionally, $x \perp 1 \Rightarrow x = 0$ must hold.

- 1 **Projections / closed subspaces** on a Hilbert space form an effect algebra; P^\perp is orthocomplement:

$$\langle x | y \rangle = 0 \quad \text{for all } x \in P, y \in P^\perp$$
- 2 **Orthomodular lattices** are effect algebras, with \odot as join $x \vee y$ only for elements with $x \perp y$, i.e. $x \leq y^\perp$
- 3 Each **Boolean algebra** is an effect algebra: it is a distributive orthomodular lattice, in which $x \perp y$ iff $x \wedge y = 0$. In particular, the Boolean algebra of measurable subsets of a measure space forms an effect algebra, where $U \odot V$ is defined if $U \cap V = \emptyset$, and is then equal to $U \cup V$.

- Each effect algebra is a **partial order**, via $x \leq y$ iff $y = x \odot z$, for some z .
- One speaks of a σ -effect algebra if countable joins (wrt. \leq) exist.
- Examples are $[0, 1]$, but also measurable subsets $\Sigma_X \subseteq \mathcal{P}(X)$, wrt. a measurable space (X, Σ_X) .

DEFINITION

A homomorphism of effect algebras $f: X \rightarrow Y$ satisfies:

- $f(1) = 1$
- if $x \perp x'$ then both $f(x) \perp f(x')$ and $f(x \odot x') = f(x) \odot f(x')$.

This yields a category **EA** of effect algebras.

The subcategory $\sigma\mathbf{EA} \hookrightarrow \mathbf{EA}$ contains σ -algebras with maps also preserving countable joins.

Examples:

- There is a functor $\mathbf{Meas}^{\text{op}} \rightarrow \sigma\mathbf{EA}$, via $(A, \Sigma_X) \mapsto \Sigma_X$
- A **probability measure** is a map $\Sigma_X \rightarrow [0, 1]$ in $\sigma\mathbf{EA}$.

Effect modules are effect algebras with a **scalar multiplication**, with scalars not from \mathbb{R} or \mathbb{C} , but from $[0, 1]$.

DEFINITION

A **(σ -)effect module** M is a (σ -)effect algebra with an action $[0, 1] \times M \rightarrow M$ that is a "bihomomorphism"

A **map of effect modules** is a map of effect algebras that commutes with scalar multiplication.

We get a category **EMod**, with subcategory $\sigma\mathbf{EMod} \hookrightarrow \mathbf{EMod}$.

Probabilistic examples

- **Fuzzy predicates** $[0, 1]^X$ on a set X , with scalar multiplication $r \cdot p \stackrel{\text{def}}{=} \lambda x \in X. r \cdot p(x)$.
- **Measurable predicates** $\text{Hom}(X, [0, 1])$, for a measurable space X , with the same scalar multiplication.

Quantum examples

- **Effects** $\mathcal{E}(H)$ on a Hilbert space: operators $A: H \rightarrow H$ satisfying $0 \leq A \leq I$, with scalar multiplication $(r, A) \mapsto rA$.
- **Effects** in a C^* -algebra A : positive elements below the unit: $[0, 1]_A = \{a \in A \mid 0 \leq a \leq 1\}$.

This one covers the previous three illustrations.

The (discrete probability) **distribution monad** on a set X :

$$\mathcal{D}(X) = \{\varphi: X \rightarrow [0, 1] \mid \text{supp}(\varphi) \text{ is finite, and } \sum_x \varphi(x) = 1\}.$$

Elements of $\mathcal{D}(X)$ are **formal** convex combinations $\sum_i r_i x_i$ where

- $\text{supp}(\varphi) = \{x_1, \dots, x_n\} \subseteq X$
- $r_i = \varphi(x_i) \in [0, 1]$, so that $\sum_i r_i = 1$.

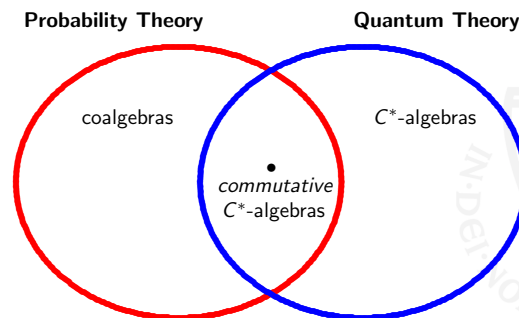
- Eilenberg-Moore $\mathcal{D}(X) \xrightarrow{\alpha} X$ make X into a **convex set**: each **formal** convex combination $\sum_i r_i x_i$ has an interpretation as **actual** sum $\sum_i r_i x_i = \alpha(\sum_i r_i x_i) \in X$.
- Note, no \mathbb{R} -module structure is assumed on X ; just this.
- There are equivalent descriptions as sums $x +_r y$, to be thought of as $rx + (1-r)y$
 - see Stone (1948) & Swirszcz (1974), and more recently Keimel & Doberkat
- Easy examples of convex set: $[0, 1]$, or $[0, 1]^A$.
- Write **Conv** = $\mathcal{EM}(\mathcal{D})$ for the category of convex sets
 - maps are **affine** functions, preserving convex sums

Theorem By "homming into $[0, 1]$ " one gets an adjunction:

$$\mathbf{EMod}^{\text{op}} \begin{matrix} \xrightarrow{\text{EMod}(-, [0, 1])} \\ \dashv \text{T} \\ \xleftarrow{\text{Conv}(-, [0, 1])} \end{matrix} \mathbf{Conv}$$

This adjunction restricts to an equivalence between:

- **Banach** effect modules, which have a complete norm
- convex **compact Hausdorff** spaces



- 1 Is there a way to relate *commutative* C^* -algebras and coalgebraic / monadic computation?
- 2 What is coalgebraic / monadic about C^* -algebras in general (the proper quantum case)?

The second question has no clear answer yet, but there is more to say about the first one.

A (unital) C^* -algebra is:

- a vector space
- with a (multiplicative) monoid structure $(1, \cdot)$
- an involution $(-)^*: A \rightarrow A$
- a complete norm $\| - \|$, satisfying $\|x^* \cdot x\| = \|x\|^2$

The C^* -algebra is called:

- **commutative** if its multiplication is commutative
- **finite-dimensional** if it is finite-dimensional as vector space

- 1 The **complex numbers** \mathbb{C} , with usual multiplication, conjugation $\bar{-}$, and norm.
 - Also, each \mathbb{C}^n with pointwise structure
 - $\ell^\infty(X)$, the set of bounded maps $X \rightarrow \mathbb{C}$, for a set X
- 2 For a **compact Hausdorff space** X , the set $C(X)$ of continuous maps $X \rightarrow \mathbb{C}$.
According to **Gelfand's duality theorem**, this is the general form of a *commutative* C^* -algebra.

- 1 The algebra $\text{Mat}_n(\mathbb{C})$ of $n \times n$ **matrices** over \mathbb{C} , with multiplication, complex conjugation $(-)^{\dagger}$, and operator norm
In fact, each finite-dimensional C^* -algebra is a product of such matrix algebras:

$$\text{Mat}_{n_1}(\mathbb{C}) \oplus \dots \oplus \text{Mat}_{n_k}(\mathbb{C})$$

- 2 The algebra $\mathcal{L}(H)$ of **bounded operators** $H \rightarrow H$, for a Hilbert space H .
Each C^* -algebra A can be described as subalgebra $A \hookrightarrow \mathcal{L}(H)$, for some Hilbert space H . This is "Gelfand-Naimark"

A linear map $f: A \rightarrow B$ between C^* -algebras is called:

- **unital (U)**, if $f(1) = 1$
- **positive (P)**, if $a \geq 0 \Rightarrow f(a) \geq 0$
(where $a \geq 0$ means $a = x^*x$, for some x)
- **multiplicative (M)**, if $f(a \cdot a') = f(a) \cdot f(a')$
- **involution (I)**, if $f(a^*) = f(a)^*$

FACTS PU \Rightarrow I and MIU \Rightarrow PU

We use categories $\mathbf{Cstar}_{\text{MIU}}$ and $\mathbf{Cstar}_{\text{PU}} \hookrightarrow \mathbf{Cstar}_{\text{MIU}}$
(plus commutative/finite-dimensional variations)

- MIU-maps are usually called ***-homomorphisms**; they are the "standard" maps in C^* -algebra theory
- Gelfand duality says: $\mathbf{CH} \simeq (\mathbf{CCstar}_{\text{MIU}})^{\text{op}}$
- However, MIU-maps are very restrictive, and PU-maps are "undervalued"
- (There are also **completely positive** maps, but they are skipped here)

For $n, m \in \mathbb{N}$, there is a bijective correspondence:

$$\frac{\text{MIU-maps } \mathbb{C}^n \longrightarrow \mathbb{C}^m}{\text{functions } m \longrightarrow n}$$

Essentially, this is the finite-dimensional version of Gelfand duality:

$$\mathbf{FinSets} \simeq (\mathbf{FdCCstar}_{\text{MIU}})^{\text{op}}$$

Proof of the correspondence.

- Each $f: m \rightarrow n$ obviously gives $(-) \circ f: \mathbb{C}^n \rightarrow \mathbb{C}^m$. It preserves the (pointwise) structure.
- Assume $\varphi: \mathbb{C}^n \rightarrow \mathbb{C}^m$ is a MIU map. Write the standard base vectors as $|i\rangle = (0, \dots, 0, 1, 0, \dots, 0) \in \mathbb{C}^n$. Since $|i\rangle \cdot |i\rangle = |i\rangle$, we get $\varphi(|i\rangle) \cdot \varphi(|i\rangle) = \varphi(|i\rangle)$, so that $\varphi(|i\rangle) = (r_{i1}, \dots, r_{im}) \in \mathbb{C}^m$ consists of $r_{ij} \in \{0, 1\}$. Since $\sum_i |i\rangle = 1 \in \mathbb{C}^n$, we get $\sum_i \varphi(|i\rangle) = \varphi(1) = 1 \in \mathbb{C}^m$, so that $\sum_i r_{ij} = 1$, for each $j \leq m$. But then: for each $j \leq m$ there is precisely one $i \leq n$ with $r_{ij} = 1$. This yields a function $m \rightarrow n$. \square

For $n, m \in \mathbb{N}$, there is a bijective correspondence:

$$\frac{\text{PU-maps } \mathbb{C}^n \longrightarrow \mathbb{C}^m}{\text{functions } m \longrightarrow \mathcal{D}(n)}$$

where \mathcal{D} is the **distribution monad**.

This gives “probabilistic” Gelfand duality, in the finite case:

$$\mathcal{Kl}_{\mathbb{N}}(\mathcal{D}) \simeq (\mathbf{FdCCstar}_{\text{PU}})^{\text{op}}$$

where $\mathcal{Kl}_{\mathbb{N}}(\mathcal{D}) \rightarrow \mathcal{Kl}(\mathcal{D})$ is the full subcategory with numbers $n \in \mathbb{N}$ as objects.

Thus, $\mathbf{FdCCstar}_{\text{PU}}$ is the **Lawvere theory** of the distribution monad

Proof of the correspondence.

- Each $f: m \rightarrow \mathcal{D}(n)$ gives a map $\mathbb{C}^n \rightarrow \mathbb{C}^m$ by:

$$v \mapsto \lambda_j \leq m. \sum_{i \leq n} f(j)(i) \cdot v(i)$$
- Assume $\varphi: \mathbb{C}^n \rightarrow \mathbb{C}^m$ is a PU map. The base vector $|i\rangle \in \mathbb{C}^n$ is positive, and so $\varphi(|i\rangle) = (r_{i1}, \dots, r_{im}) \in \mathbb{C}^m$ consists of positive (real) numbers r_{ij} . As before, $\sum_i \varphi(|i\rangle) = \varphi(1) = 1 \in \mathbb{C}^m$, so for each $j \leq m$ we have $\sum_i r_{ij} = 1$. Thus we get the required map $m \rightarrow \mathcal{D}(n)$. \square

In (Furber & Jacobs, CALCO'13) it is shown that:

- There is a **Radon** monad $\mathcal{R}: \mathbf{CH} \rightarrow \mathbf{CH}$ on the category \mathbf{CH} of compact Hausdorff spaces
- This monad \mathcal{R} is given by **states** of the C^* -algebra $C(X)$:

$$\mathcal{R}(X) = \text{Hom}_{\text{PU}}(C(X), \mathbb{C})$$

- We then get::

$$\mathcal{Kl}(\mathcal{R}) \simeq (\mathbf{CCstar}_{\text{PU}})^{\text{op}}$$

- States-and-effect triangles capture basic structure in program semantics
 - duality between state- and predicate-transformations
- In the mathematical description of the quantum world C^* -algebras (and also W^* -algebras) play an important role
 - *commutative* C^* -algebras capture (classical) probability
- These commutative C^* -algebras, with positive unital maps, can be described as Kleisli categories of monads
 - endomaps thus correspond to coalgebras (of the monad)
- The general, non-commutative case does not have a crisp categorical description (yet)