

Constraint Relationships

Alexander Knapp
Alexander Schiendorfer
Universität Augsburg

Preferences in Constraint Solving

Constraint problem $((X, D), C)$ over constraint domain (X, D)

- ▶ variables X , domains $D = (D_x)_{x \in X}$, constraints C

In practice: **over-constrained** problems

- ▶ Which valuations $v \in [X \rightarrow D]$ should be **preferred**?

Existing formalisms:

- ▶ Soft constraints (c-semirings, valued constraints)
 - ▶ quantify satisfaction (or violation) degree of a valuation
 - ▶ hard to capture; normalisation with different scales necessary
- ▶ Conditional preference networks (CP-nets)
 - ▶ capture preferences over domains w. r. t. other variables' assignments
 - ▶ worst case: $\prod_{1 \leq i \leq n} |D_i|$ total orders have to be specified
- ▶ Constraint hierarchies
 - ▶ categorise constraints on hierarchy levels
 - ▶ combining different hierarchies difficult

Preferences in Constraint Solving: Example

Constraint problem $((\{x, y, z\}, D_x = D_y = D_z = \{1, 2, 3\}), \{c_1, c_2, c_3\})$ with

$$c_1 : x + 1 = y$$

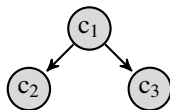
$$c_2 : z = y + 2$$

$$c_3 : x + y \leq 3$$

- ▶ Not all constraints can be satisfied simultaneously
 - ▶ e.g., c_2 forces z to be 3 and y to be 1, conflicting with c_1
- ▶ We can choose between valuations satisfying $\{c_1, c_3\}$ or $\{c_2, c_3\}$.

Constraint relationships

- ▶ directly specify which constraints are more important to be satisfied than others, e.g.
 - ▶ c_1 more important than c_2
 - ▶ c_1 more important than c_3



Constraint Relationships

Approach

- ▶ Define acyclic relation R over constraints C
 - ▶ more important constraints “above” less important constraints
- ▶ Lift this relation to violation sets of valuations
 - ▶ dominance properties p

Benefits

- ▶ Qualitative formalism — simple to specify, keeps underlying rationale
- ▶ “Unbiased” combination of several (disjoint) constraint relationships

A. Schiendorfer, J.-Ph. Steghöfer, A. Knapp, F. Nafz, W. Reif (2013)

Lifting via Dominance Properties

For constraint relationship (C, R) for constraint problem $((X, D), C)$ define valuation $v \in [X \rightarrow D]$ to be **better** than solution $w \in [X \rightarrow D]$ w. r. t. relation R and dominance property p if the set of constraints violated by v can be (transitively) **worsened** to the set of constraints violated by w

$$v >_R^p w \iff \{c \in C \mid v \not\models c\} (\rightsquigarrow_R^p)^+ \{c \in C \mid w \not\models c\}$$

General assumptions for V, W sets of **violated** constraints

- ▶ Violating one more constraint is worse

$$V \rightsquigarrow_R^p V \uplus \{c\}$$

- ▶ Independence of worsenings

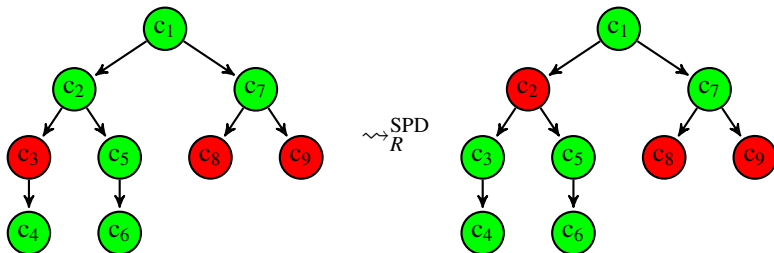
$$\frac{V_1 \rightsquigarrow_R^p W_1 \quad V_2 \rightsquigarrow_R^p W_2}{V_1 \uplus V_2 \rightsquigarrow_R^p W_1 \uplus W_2}$$

Additional rules for each dominance property p

Single-Predecessor Dominance

$$V \uplus \{c\} \rightsquigarrow_R^{\text{SPD}} V \uplus \{c'\} \quad \text{if } c \prec_R c'$$

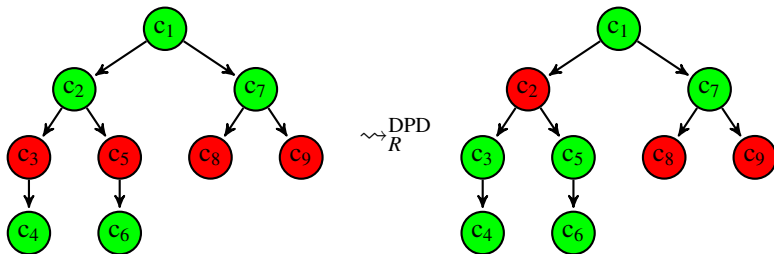
► Example



Direct-Predecessors Dominance

$$V \uplus \{c_1, \dots, c_k\} \rightsquigarrow_R^{\text{DPD}} V \uplus \{c'\} \quad \text{if } \forall c \in \{c_1, \dots, c_k\}. c \prec_R c'$$

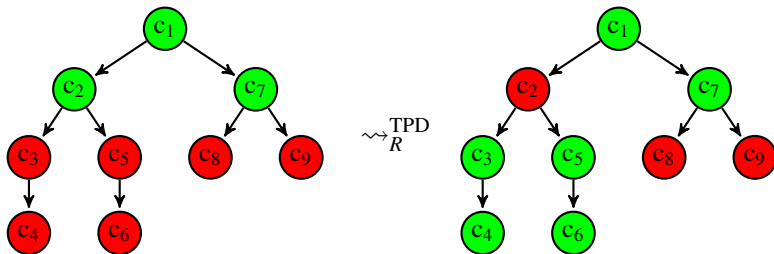
▶ Example



Transitive-Predecessors Dominance

$$V \uplus \{c_1, \dots, c_k\} \rightsquigarrow_R^{\text{TPD}} V \uplus \{c'\} \quad \text{if } \forall c \in \{c_1, \dots, c_k\}. c \prec_{R^+} c'$$

► Example



Connection to Other Constraint Preference Formalisms

Constraint hierarchies

- ▶ can be read as constraint relationships (for “locally predicate better”)
- ▶ some constraint relationships not expressible in constraint hierarchies

Conditional preference networks

- ▶ “better-as” relation on assignments not necessarily acyclic
- ▶ some constraint relationships not expressible in CP-nets

Soft constraints

- ▶ translation of constraint relationships into k -weighted CSPs
 - ▶ c-semiring, but induces total order on valuations
- ▶ More general representation avoiding totalisation?

C-Semirings

C-semiring $A = (|A|, \oplus_A, \otimes_A, \mathbf{0}_A, \mathbf{1}_A)$

- ▶ \oplus_A associative, commutative, $\mathbf{1}_A$ annihilator, $\mathbf{0}_A$ neutral element
- ▶ \otimes_A associative, commutative, $\mathbf{0}_A$ annihilator, $\mathbf{1}_A$ neutral element
- ▶ \otimes_A distributes over \oplus_A

C-semiring **morphism** $\varphi : A \rightarrow B$ algebraic homomorphism

In any c-semiring A , \oplus_A is idempotent, inducing a partial order \preceq_A

- ▶ $a \preceq_A b \iff a \oplus_A b = b$ (“ b better than a ”)
- ▶ which is monotone w. r. t. \oplus_A and \otimes_A

Intuition: $|A|$ **gradings**, e. g., for constraint satisfaction/violation

- ▶ \otimes_A for **combining** gradings
- ▶ \oplus_A for **comparing** gradings (typically through \preceq_A)
 - ▶ $\mathbf{0}_A \preceq_A a \preceq_A \mathbf{1}_A$ for all $a \in |A|$

S. Bistarelli, U. Montanari, F. Rossi (1995)

C-Semirings: Solution Degrees

Soft constraint over constraint domain (X, D) and c-semiring A

- ▶ $\gamma : [X \rightarrow D] \rightarrow |A|$ (grading each valuation)
 - ▶ “hard” constraints correspond to soft constraints over initial c-semiring $\mathbf{B} = (\{\perp, \top\}, \vee, \wedge, \perp, \top)$

Solution degree of a valuation for finite set Γ of soft constraints over (X, D) , A

- ▶ $\Gamma(v) = \bigotimes_A \{\gamma(v) \mid \gamma \in \Gamma\}$

Solution degree comparison of valuations for Γ

- ▶ $w \leq_{\Gamma} v \iff \Gamma(w) \preceq_A \Gamma(v)$ (“ v better than w ”)

Maximum solution degrees of Γ

- ▶ $\Gamma^* = \text{Max}^{\preceq_A} \{\Gamma(v) \mid v \in [X \rightarrow D]\}$

Optimum solution degree of Γ for finite X and D

- ▶ $\text{osd}(\Gamma) = \bigoplus_A \{\Gamma(v) \mid v \in [X \rightarrow D]\}$

From Constraint Relationships to C-Semirings (1)

k -weighted CSP

- ▶ c-semiring $W_k = (\{0, \dots, k\}, \min, +^k, k, 0)$ with $x +^k y = \min\{k, x + y\}$

Soft constraints over $W_{k_R}^p$ from constraint relationship (C, R)

- ▶ recursive assignment of **weights** according to dominance property p

$$w_R^{\text{SPD}}(c) = 1 + \max\{w_R^{\text{SPD}}(c') \mid c' \in C, c' \prec_R c\}$$

$$w_R^{\text{DPD}}(c) = 1 + \sum\{w_R^{\text{DPD}}(c') \mid c' \in C, c' \prec_R c\}$$

$$w_R^{\text{TPD}}(c) = 1 + \sum\{2 \cdot w_R^{\text{TPD}}(c') - 1 \mid c' \in C, c' \prec_R c\}$$

- ▶ $k_R^p = 1 + \sum_{c \in C} w_R^p(c)$

Then

$$v >_R^p w \text{ implies } \sum\{w_R^p(c) \mid c \in C, v \neq c\} < \sum\{w_R^p(c) \mid c \in C, w \neq c\}$$

From Constraint Relationships to C-Semirings (2)

Single-predecessor dominance rules for constraint relationship (C, R)

- ▶ $V \rightsquigarrow_R^{\text{SPD}} V \uplus \{c\}$
- ▶ $V \uplus \{c\} \rightsquigarrow_R^{\text{SPD}} V \uplus \{c'\}$ if $c \prec_R c'$

reminiscent of **Hoare pre-ordering** for powerdomains w. r. t. partial order (C, R^*)

- ▶ $V \subseteq_{R^*} W \iff \forall c \in V. \exists c' \in W. c \preceq_{R^*} c'$

However,

- ▶ $\{c_2\} \subseteq_{R^*} \{c_1\}$ would imply $\{c_1, c_2\} \subseteq_{R^*} \{c_1\}$
- ▶ additionally, $\{c_1\} \subseteq_{R^*} \{c_1, c_2\}$

But \otimes_A for c-semiring A **not idempotent**, in general

Constructing a **lifting** of a partial order to a c-semiring in two steps

- ▶ lifting of partial orders to “**meet monoids**”, corresponding to powerdomain construction
- ▶ lifting of meet monoids to c-semirings

Partially Ordered, Commutative Monoids

Partially ordered, commutative (poc) monoid $M = (|M|, \cdot_M, \varepsilon_M, \leq_M)$

- ▶ \cdot_M associative and commutative, ε_M neutral element
- ▶ \leq_M partial order which is monotone w. r. t. \cdot_M

Poc monoid **morphism** $\varphi : M \rightarrow N$ algebraic, monotone

M **meet (join)** monoid if (equivalently)

- ▶ $m \cdot_M n \leq_M m$ ($m \leq_M m \cdot_M n$) for all $m, n \in |M|$
- ▶ ε_M greatest (smallest) element w. r. t. \leq_M

Similar: M. Hölzl, M. Meier, M. Wirsing (2006); F. Gadducci, M. Hölzl, G. V. Monreale, M. Wirsing (2013)

Functor $mMon : cSRng \rightarrow mMon$ from c-semirings to meet monoids

$$mMon(A) = (|A|, \otimes_A, \mathbf{1}_A, \preceq_A) \quad mMon(\varphi : A \rightarrow B) = \varphi$$

Functor $PO : mMon \rightarrow PO$ from meet monoids to partial orders

$$PO(M) = (|M|, \leq_M) \quad PO(\varphi : M \rightarrow N) = \varphi$$

From Partial Orders to Poc Monoids

Given partial order P , consider finite multisets $\mathcal{M}_{\text{fin}} |P|$ over set $|P|$

- ▶ **Lower** or **Hoare** ordering $\sqsubseteq_P \subseteq (\mathcal{M}_{\text{fin}} |P|) \times (\mathcal{M}_{\text{fin}} |P|)$

$$T \subseteq U \text{ implies } T \sqsubseteq_P U$$

$$p \leq_P q \text{ implies } T \uplus \{p\} \sqsubseteq_P T \uplus \{q\}$$

“each element of T can be paired off with a **dominating** element of U ”

- ▶ **Upper** or **Smyth** ordering $\sqsubseteq^P \subseteq (\mathcal{M}_{\text{fin}} |P|) \times (\mathcal{M}_{\text{fin}} |P|)$

$$T \sqsubseteq^P U \iff U \sqsubseteq_{P^{-1}} T$$

“each element of U can be paired off with a **dominated** element of T ”

- ▶ **Convex** or **Plotkin** ordering $\sqsubseteq_P \cap \sqsubseteq^P$

Multiset union monotone w. r. t. $\sqsubseteq_P, \sqsubseteq^P, \sqsubseteq_P \cap \sqsubseteq^P$

$mMon\langle - \rangle \dashv PO$

For upper ordering over P

- ▶ $T \cup U \subseteq^P T$
- ▶ \bigcup greatest element for \subseteq^P

Functor $mMon\langle - \rangle : PO \rightarrow mMon$ from partial orders to meet monoids

$$mMon\langle P \rangle = (\mathcal{M}_{\text{fin}} |P|, \cup, \bigcup, \subseteq^P)$$

$$mMon\langle \varphi : P \rightarrow Q \rangle = \lambda \{p_1, \dots, p_k\} \in \mathcal{M}_{\text{fin}} |P|. \{\varphi(p_1), \dots, \varphi(p_k)\}$$

Unit $\eta_P^{\text{mMon}} : P \rightarrow PO(mMon\langle P \rangle)$

$$\eta_P^{\text{mMon}}(p) = \{p\}$$

Lifting $\varphi^{\sharp_{\text{mMon}}} : mMon\langle P \rangle \rightarrow M$ for $\varphi : P \rightarrow PO(M)$

$$\varphi^{\sharp_{\text{mMon}}}(\{p_1, \dots, p_k\}) = \varphi(p_1) \cdot_M \dots \cdot_M \varphi(p_k)$$

$cSRng\langle - \rangle \dashv mMon (1)$

Given a meet monoid M , consider finite sets of pairwise \leq_M -incomparable elements $\mathcal{I}_{\text{fin}}(M)$ of $|M|$; define $\tilde{U}_M, \tilde{\cdot}_M : \mathcal{I}_{\text{fin}}(M) \times \mathcal{I}_{\text{fin}}(M) \rightarrow \mathcal{I}_{\text{fin}}(M)$

- ▶ $I \tilde{U}_M J = \text{Max}^{\leq_M}(I \cup J)$
- ▶ $I \tilde{\cdot}_M J = \text{Max}^{\leq_M}\{m \cdot_M n \mid m \in I, n \in J\}$

Functor $cSRng\langle - \rangle : mMon \rightarrow cSRng$ from meet monoids to c-semirings

$$cSRng\langle M \rangle = (\mathcal{I}_{\text{fin}}(M), \tilde{U}_M, \tilde{\cdot}_M, \emptyset, \{\varepsilon_M\})$$

$$cSRng\langle \varphi : M \rightarrow N \rangle = \lambda\{m_1, \dots, m_k\} \in \mathcal{I}_{\text{fin}}(M). \\ \text{Max}^{\leq_N}\{\varphi(m_1), \dots, \varphi(m_k)\}$$

Unit $\eta_M^{cSRng} : M \rightarrow mMon(cSRng\langle M \rangle)$

$$\eta_M^{cSRng}(m) = \{m\}$$

Lifting $\varphi^{\sharp cSRng} : cSRng\langle M \rangle \rightarrow A$ for $\varphi : M \rightarrow mMon(A)$

$$\varphi^{\sharp cSRng}(\{m_1, \dots, m_k\}) = \varphi(m_1) \oplus_A \dots \oplus_A \varphi(m_k)$$

$cSRng\langle - \rangle \dashv mMon(2)$

Hoare ordering in $cSRng\langle M \rangle$ for meet monoid M

$$\begin{aligned} I \preceq_{cSRng\langle M \rangle} J &\iff I \tilde{\cup}_M J = J \\ &\iff \text{Max}^{\leq M}(I \cup J) = J \\ &\iff I \subseteq_{PO(M)} J \end{aligned}$$

For specifying soft constraints $\Gamma \subseteq ([X \rightarrow D] \rightarrow |cSRng\langle M \rangle|)$, it suffices to specify $\mathbf{M} \subseteq ([X \rightarrow D] \rightarrow |M|)$, setting $\Gamma = \mathbf{M} \circ \eta_M^{cSRng}$

$$\begin{aligned} \Gamma(v) &= \bigotimes_{cSRng\langle M \rangle} \{\gamma(v) \mid \gamma \in \Gamma\} \\ &= \bigotimes_{cSRng\langle M \rangle} \{\mu(\eta_M^{cSRng}(v)) \mid \mu \in \mathbf{M}\} \\ &= \bigotimes_{cSRng\langle M \rangle} \{\{\mu(v)\} \mid \mu \in \mathbf{M}\} \\ &= \{\prod_M \{\mu(v) \mid \mu \in \mathbf{M}\}\} \\ &= \{\mathbf{M}(v)\} \end{aligned}$$

Connection to Constraint Relationships

Given constraint problem $((X, D), C)$ with constraint relationship (C, R)

Consider

- ▶ partial order $P = (C, R^*)$
- ▶ meet monoid $M = mMon\langle P^{-1} \rangle$ with grading of $v \in [X \rightarrow D]$
 $M(v) = \prod_M \{c_M(v) \mid c \in C\}$ where $c_M(v) = (v \not\models c \supset \{c\}; \{c\})$

Then

$$\begin{aligned} M(w) \leq_M M(v) &\iff \prod_M \{c_M(w) \mid c \in C\} \leq_M \prod_M \{c_M(v) \mid c \in C\} \\ &\iff \{c \mid c \in C, w \not\models c\} \subseteq^{(R^*)^{-1}} \{c \mid c \in C, v \not\models c\} \\ &\iff \{c \mid c \in C, v \not\models c\} \subseteq_{R^*} \{c \mid c \in C, w \not\models c\} \end{aligned}$$

- ▶ Corresponding to SPD (on sets of violated constraints)

Branch & Bound (1)

Computation of maximum solution degrees

- ▶ soft constraints $\mathbf{M} \subseteq ([X \rightarrow D] \rightarrow |M|)$ over meet monoid M
- ▶ examining partial valuations $p \in [X \rightarrow D^?]$
 - ▶ $p\uparrow$ set of totalisations for p
- ▶ trying to cut off non-contributing partial valuations early

$(\alpha, \zeta) \in ([X \rightarrow D^?] \rightarrow |M|)^2$ tight bounding pair for \mathbf{M} if for all $p \in [X \rightarrow D^?]$

- ▶ $\mathbf{M}(v) \leq_M \zeta(p)$ for all $v \in p\uparrow$ (upper bound)
- ▶ $\alpha(p) \leq_M \mathbf{M}(v)$ for some $v \in p\uparrow$ (lower bound)

Branch & Bound (2)

Assume: – (X, D) finite constraint domain, M meet monoid
– $M \subseteq ([X \rightarrow D] \rightarrow |M|)$ finite set of soft constraints
– (α, ζ) tight bounding pair for M

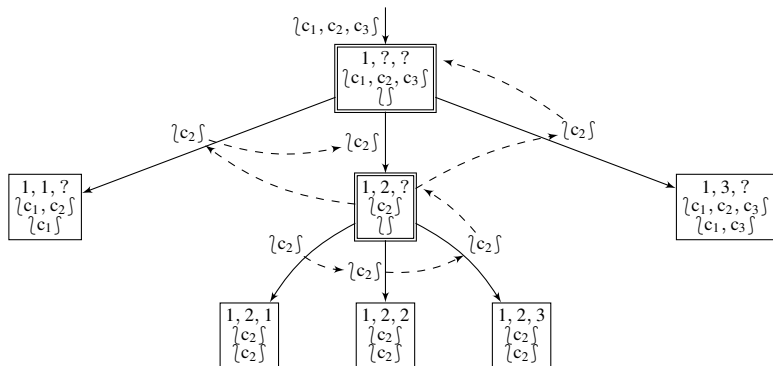
In: – $p \in [X \rightarrow D]^?$ partial valuation for (X, D)
– $L \subseteq |M|$ finite and pairwise incomparable w.r.t. \leq_M

Return: $\text{Max}^{\leq_M}(L \cup M(p\uparrow)) = L \oplus_{cSRng\langle M \rangle} \text{Max}^{\leq_M} M(p\uparrow)$

```
maxSolDeps(α,ζ)(p, L) ≡
  if ∀x ∈ X . p(x) ≠ ?
  then return Max≤M(L ∪ {ζ(p)}) fi
  x ← choose {x ∈ X | p(x) = ?}
  L ← Max≤M(L ∪ {α(p{x ↦ d}) | d ∈ Dx})
  for d ∈ Dx
  do if ¬∃l ∈ L . ζ(p{x ↦ d}) ≤M l
     then L ← maxSolDeps(α,ζ)(p{x ↦ d}, L) fi od
  return L
```

Branch & Bound: Example

- ▶ $(X, D) = (\{x, y, z\}, D_x = D_y = D_z = \{1, 2, 3\})$
- ▶ $(C, R) = (\{c_1 : x + 1 = y, c_2 : z = y + 2, c_3 : x + y \leq 3\}, \{c_1 \succ c_2, c_3\})$
- ▶ $M = mMon\langle(C, (R^*)^{-1})\rangle$
- ▶ $\alpha(p) = \{c \in C \mid sc(c) \subseteq def(p), p \not\models c\} \cup \{c \in C \mid sc(c) \not\subseteq def(p)\}$
- ▶ $\zeta(p) = \{c \in C \mid sc(c) \subseteq def(p), p \models c\}$



Conclusions and Future Work

Constraint relationships

- ▶ relation to c-semirings
- ▶ using meet monoids

Future work

- ▶ search heuristics
- ▶ conditional statements
- ▶ learning/abductive reasoning for preference elicitation
- ▶ applications, e.g., optimisation in power management systems