



# Symbolic Runtime Verification for Monitoring under Uncertainties and Assumptions

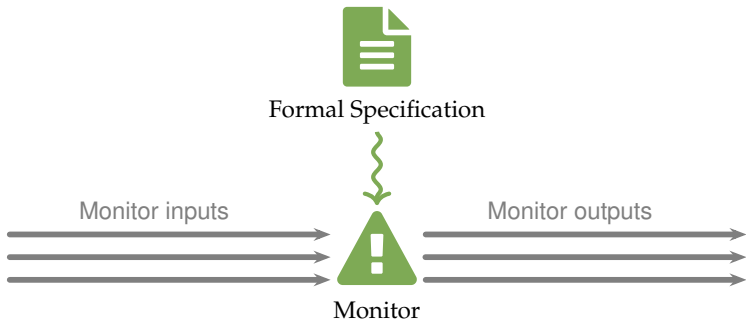
**Hannes Kallwies**<sup>1</sup>   Martin Leucker<sup>1</sup>   César Sánchez<sup>2</sup>

<sup>1</sup> Institute for Software Engineering and Programming Languages,  
University of Lübeck, Lübeck, Germany

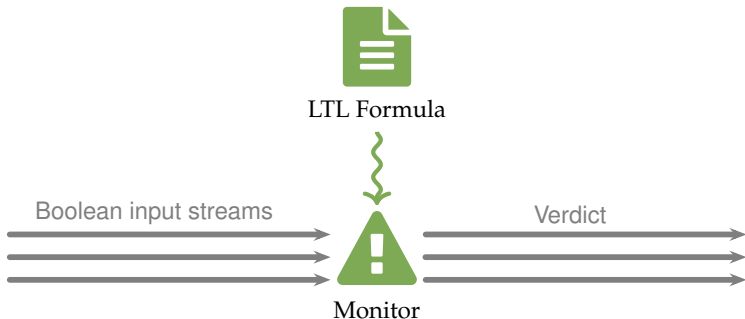
<sup>2</sup> IMDEA Software Institute, Madrid, Spain

International Symposium on Automated Technology for Verification and Analysis (ATVA), Oct. '23  
Adjusted Presentation from ATVA 2022

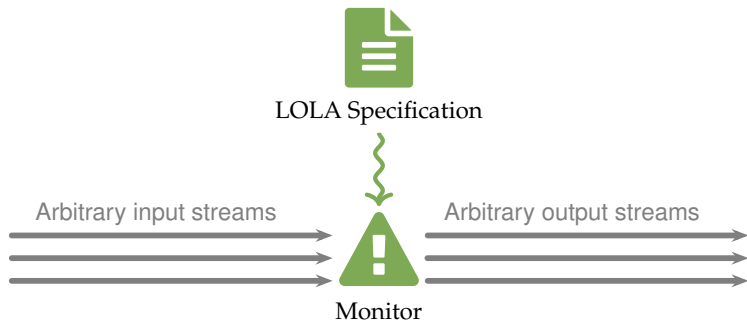
# General Setting: Runtime Verification



# General Setting: Runtime Verification



# General Setting: Stream Runtime Verification



# Stream Runtime Verification: Example

In  $ld$ : Real | 2 — 4 — 5 — 3 →

Def  $acc$  := "Sum of last three  $ld$  values" | 2 — 6 — 11 — 12 →

Def  $ok$  := " $acc$  is lower 10" |  $tt$  —  $tt$  —  $ff$  —  $ff$  →

# Stream Runtime Verification: Example

In  $ld$ : Real |— (2) — (4) — (5) — (3) —→

Def  $acc$  := “Sum of last three  $ld$  values” |— (2) — (6) — (11) — (12) —→


Def  $ok$  := “ $acc$  is lower 10” |— (tt) — (tt) — (ff) — (ff) —→

Three basic LOLA stream expressions:

- ▶ Constant streams e.g. 10
- ▶ Offset operators  $s[o|c]$   
⇒: We restrict our self to the past fragment here (i.e.  $o \leq 0$ )
- ▶ Function applications e.g.  $a[now] + b[now]$

# Stream Runtime Verification: Example

In  $ld$ : Real  $\vdash$  

Def  $acc := acc[-1|0] + ld[now] - ld[-3|0]$   $\vdash$  


Def  $ok := \text{"acc is lower 10"}$   $\vdash$  

Three basic LOLA stream expressions:

- ▶ Constant streams e.g. 10
- ▶ Offset operators  $s[o|c]$   
 $\Rightarrow$ : We restrict our self to the past fragment here (i.e.  $o \leq 0$ )
- ▶ Function applications e.g.  $a[now] + b[now]$

# Stream Runtime Verification: Example

In  $ld$ : Real  $\vdash$    $\rightarrow$

Def  $acc := acc[-1|0] + ld[now] - ld[-3|0]$    $\rightarrow$

Def  $ok := (acc[now] < 10)$    $\rightarrow$

Three basic LOLA stream expressions:

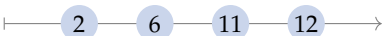
- ▶ Constant streams e.g. 10
- ▶ Offset operators  $s[o|c]$   
 $\Rightarrow$ : We restrict our self to the past fragment here (i.e.  $o \leq 0$ )
- ▶ Function applications e.g.  $a[now] + b[now]$



# Research Question: Uncertainties & Assumptions

In  $ld$ : Real  $\vdash$    $\rightarrow$

The diagram shows a horizontal line with an arrow pointing to the right. Four blue circles are placed along the line, containing the numbers 2, 4, 5, and 3 in order from left to right.

Def  $acc := acc[-1|0] + ld[now] - ld[-3|0]$   $\vdash$    $\rightarrow$

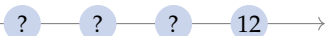
The diagram shows a horizontal line with an arrow pointing to the right. Four blue circles are placed along the line, containing the numbers 2, 6, 11, and 12 in order from left to right.

Def  $ok := (acc[now] < 10)$   $\vdash$    $\rightarrow$

The diagram shows a horizontal line with an arrow pointing to the right. Four circles are placed along the line. The first two are green and contain the text 'tt'. The last two are red and contain the text 'ff'.

# Research Question: Uncertainties & Assumptions

In  $ld$ : Real  $\vdash$  

Def  $acc := acc[-1|0] + ld[now] - ld[-3|0]$   $\vdash$  

Def  $ok := (acc[now] < 10)$   $\vdash$  

**Uncertainty:** What to do when some events are (partially) unknown?

# Research Question: Uncertainties & Assumptions

In  $ld$ : Real  $\vdash$  —  $?$  —  $4$  —  $5$  —  $3$   $\rightarrow$

Def  $acc := acc[-1|0] + ld[now] - ld[-3|0]$   $\vdash$  —  $?$  —  $?$  —  $?$  —  $12$   $\rightarrow$


Def  $ok := (acc[now] < 10)$   $\vdash$  —  $tt$  —  $tt$  —  $ff$  —  $ff$   $\rightarrow$

**Assumptions:** How to use additional information about the system?

E.g. The value of every input is between 1 and 5

# Research Question: Uncertainties & Assumptions

In  $ld$ : Real  $\vdash$    $\rightarrow$

Def  $acc := acc[-1|0] + ld[now] - ld[-3|0]$   $\vdash$    $\rightarrow$

Def  $ok := (acc[now] < 10)$   $\vdash$    $\rightarrow$

**Assumptions:** How to use additional information about the system?

E.g. The value of every input is between 1 and 5

$\Rightarrow$  In general very powerful!


# Previous approach: Interval arithmetic

2019 Leucker et al. presented approach with intervals as abstract domain:

# Previous approach: Interval arithmetic

2019 Leucker et al. presented approach with intervals as abstract domain:

In  $ld$ : Real  $\vdash$  


Def  $acc := acc[-1|0] + ld[now] - ld[-3|0]$   $\vdash$  

Def  $ok := (acc[now] < 10)$   $\vdash$  

# Previous approach: Interval arithmetic

2019 Leucker et al. presented approach with intervals as abstract domain:

In  $ld$ : Real  $\vdash$  

Def  $acc := acc[-1|0] + ld[now] - ld[-3|0]$   $\vdash$  


Def  $ok := (acc[now] < 10)$   $\vdash$  

- Approach is sound, but not perfect.

# Previous approach: Interval arithmetic

2019 Leucker et al. presented approach with intervals as abstract domain:

In  $ld$ : Real  $\vdash$  

Def  $acc := acc[-1|0] + ld[now] - ld[-3|0]$   $\vdash$  

Def  $ok := (acc[now] < 10)$   $\vdash$  

- ▶ Approach is sound, but not perfect.
- ▶ Handling of complex assumptions not clear.



# New approach: Symbolic evaluation

# New approach: Symbolic evaluation

**Idea:** Use symbolic formulas for representation of unknown values and additional logical constraints (e.g. assumptions).

# New approach: Symbolic evaluation

**Idea:** Use symbolic formulas for representation of unknown values and additional logical constraints (e.g. assumptions).


⇒ Use SMT solver for queries on possible values.

# New approach: Symbolic evaluation

**Idea:** Use symbolic formulas for representation of unknown values and additional logical constraints (e.g. assumptions).

⇒ Use SMT solver for queries on possible values.

In  $ld$ : Real  $\vdash$  

Def  $acc := acc[-1|0] + ld[now] - ld[-3|0]$   $\vdash$  

Def  $ok := (acc[now] < 10)$   $\vdash$  

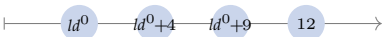
Additional constraints:  $\{1 \leq ld^0 \leq 5\}$

# New approach: Symbolic evaluation

**Idea:** Use symbolic formulas for representation of unknown values and additional logical constraints (e.g. assumptions).

⇒ Use SMT solver for queries on possible values.

In  $ld$ : Real  $\vdash$  

Def  $acc := acc[-1|0] + ld[now] - ld[-3|0]$   $\vdash$  

Def  $ok := (acc[now] < 10)$   $\vdash$  

Additional constraints:  $\{1 \leq ld^0 \leq 5\}$

► Approach in principle perfect.

# New approach: Symbolic evaluation

**Idea:** Use symbolic formulas for representation of unknown values and additional logical constraints (e.g. assumptions).

⇒ Use SMT solver for queries on possible values.

In  $ld$ : Real  $\vdash$   $ld^0$  — 4 — 5 — 3  $\rightarrow$

Def  $acc := acc[-1|0] + ld[now] - ld[-3|0]$   $\vdash$   $ld^0$  —  $ld^0+4$  —  $ld^0+9$  — 12  $\rightarrow$

Def  $ok := (acc[now] < 10)$   $\vdash$   $tt$  —  $tt$  —  $ff$  —  $ff$   $\rightarrow$

Additional constraints:  $\{1 \leq ld^0 \leq 5\}$

- ▶ Approach in principle perfect.
- ▶ Assumptions up to  $t$  can be added as propositions to constraint set.

# Symbolic SRV: Monitoring Algorithm

---

**Algorithm:** Symbolic Monitoring Algorithm for LOLA specification  $\varphi$

---

$t \leftarrow 0$  and  $E \leftarrow \emptyset$ ;

**while**  $t \in \mathbb{T}$  **do**

    Read  $\text{Input}^t$ ;

$E \leftarrow E \cup \llbracket \varphi \rrbracket_{sym}^t$ ;

$E \leftarrow E \cup \text{Input}^t$ ;

    Evaluate and Simplify;

    Output;

$t \leftarrow t + 1$ ;

---

# Symbolic SRV: Monitoring Algorithm

---

**Algorithm:** Symbolic Monitoring Algorithm for LOLA specification  $\varphi$

---

$t \leftarrow 0$  and  $E \leftarrow \emptyset$ ;

**while**  $t \in \mathbb{T}$  **do**

    Read  $\text{Input}^t$ ;

$E \leftarrow E \cup \llbracket \varphi \rrbracket_{sym}^t$ ;

$E \leftarrow E \cup \text{Input}^t$ ;

    Evaluate and Simplify;

    Output;

$t \leftarrow t + 1$ ;

---



# Symbolic SRV: Monitoring Algorithm

---

**Algorithm:** Symbolic Monitoring Algorithm for LOLA specification  $\varphi$

---

$t \leftarrow 0$  and  $E \leftarrow \emptyset$ ;

**while**  $t \in \mathbb{T}$  **do**

  Read  $\text{Input}^t$ ;

$E \leftarrow E \cup \llbracket \varphi \rrbracket_{sym}^t \cup \llbracket A^t \rrbracket_{\varphi}$ ;

$E \leftarrow E \cup \text{Input}^t$ ;

  Evaluate and Simplify;

  Output;

$t \leftarrow t + 1$ ;

---

Assumption knowledge up to timestamp  $t$  can also be included.

# Symbolic SRV: Monitoring Algorithm

---

**Algorithm:** Symbolic Monitoring Algorithm for LOLA specification  $\varphi$

---

$t \leftarrow 0$  and  $E \leftarrow \emptyset$ ;

**while**  $t \in \mathbb{T}$  **do**

    Read  $\text{Input}^t$ ;

$E \leftarrow E \cup \llbracket \varphi \rrbracket_{sym}^t \cup \llbracket A^t \rrbracket_{\varphi}$ ;

$E \leftarrow E \cup \text{Input}^t$ ;

    Evaluate and Simplify;

    Output;

$t \leftarrow t + 1$ ;

---

**Major problem:** Symbolic formulas may grow unboundedly.

$\Rightarrow$  **No monitoring with trace-length-independent resources!**

# Symbolic SRV: Monitoring Algorithm

---

**Algorithm:** Symbolic Monitoring Algorithm for LOLA specification  $\varphi$

---

$t \leftarrow 0$  and  $E \leftarrow \emptyset$ ;

**while**  $t \in \mathbb{T}$  **do**

    Read  $\text{Input}^t$ ;

$E \leftarrow E \cup \llbracket \varphi \rrbracket_{sym}^t \cup \llbracket A^t \rrbracket_{\varphi}$ ;

$E \leftarrow E \cup \text{Input}^t$ ;

    Evaluate and Simplify;

    Output;

$t \leftarrow t + 1$ ;

---

**Major problem: Symbolic formulas may grow unboundedly.**

$\Rightarrow$  **No monitoring with trace-length-independent resources!**

$\Rightarrow$  Pruning of formulas necessary.

# Symbolic SRV: Monitoring Algorithm

---

**Algorithm:** Symbolic Monitoring Algorithm for LOLA specification  $\varphi$

---

$t \leftarrow 0$  and  $E \leftarrow \emptyset$ ;

**while**  $t \in \mathbb{T}$  **do**

  Read Input <sup>$t$</sup> ;

$E \leftarrow E \cup \llbracket \varphi \rrbracket_{sym}^t \cup \llbracket A^t \rrbracket_{\varphi}$ ;

$E \leftarrow E \cup \text{Input}^t$ ;

  Evaluate and Simplify;

  Output;

  Prune;

$t \leftarrow t + 1$ ;

---

**Major problem:** Symbolic formulas may grow unboundedly.

⇒ No monitoring with trace-length-independent resources!

⇒ Pruning of formulas necessary.

# Pruning of symbolic formulas

## **First case: Boolean LOLA Fragment**

(Only Boolean streams, operators and assumptions)

# Pruning of symbolic formulas

## First case: Boolean LOLA Fragment

(Only Boolean streams, operators and assumptions)

$$a := a[-1|ff] \oplus x[now]$$

$$b := b[-1|tt] \oplus x[now]$$

$$ok := a[now] \oplus b[now] \quad // = true$$

$t$	0	1	2	3	...
$a^t$	$x^0$	$x^0 \oplus x^1$	$x^0 \oplus x^1 \oplus x^2$	$x^0 \oplus x^1 \oplus x^2 \oplus x^3$	...
$b^t$	$\neg x^0$	$\neg x^0 \oplus x^1$	$\neg x^0 \oplus x^1 \oplus x^2$	$\neg x^0 \oplus x^1 \oplus x^2 \oplus x^3$	...
$ok^t$	$tt$	$tt$	$tt$	$tt$	...

# Pruning of symbolic formulas

## First case: Boolean LOLA Fragment

(Only Boolean streams, operators and assumptions)

$$a := a[-1|ff] \oplus x[now]$$

$$b := b[-1|tt] \oplus x[now]$$

$$ok := a[now] \oplus b[now] \quad // = true$$

$t$	0	1	2	3	...
$a^t$	$x^0$	$x^0 \oplus x^1$	$x^0 \oplus x^1 \oplus x^2$	$x^0 \oplus x^1 \oplus x^2 \oplus x^3$	...
$b^t$	$\neg x^0$	$\neg x^0 \oplus x^1$	$\neg x^0 \oplus x^1 \oplus x^2$	$\neg x^0 \oplus x^1 \oplus x^2 \oplus x^3$	...
$ok^t$	$tt$	$tt$	$tt$	$tt$	...

**Observation:** Growing formulas in steps 1, 2, 3 describe only two possible vectors:  $(a^3, b^3, ok^3) \in \{(ff, tt, tt), (tt, ff, tt)\}$ .

# Pruning of symbolic formulas

## First case: Boolean LOLA Fragment

(Only Boolean streams, operators and assumptions)

$$a := a[-1|ff] \oplus x[now]$$

$$b := b[-1|tt] \oplus x[now]$$

$$ok := a[now] \oplus b[now] \quad // = true$$

$t$	0	1	2	3	...
$a^t$	$x^0$	$v^1$	$v^1 \oplus x^2$	$v^1 \oplus x^2 \oplus x^3$	...
$b^t$	$\neg x^0$	$\neg v^1$	$\neg v^1 \oplus x^2$	$\neg v^1 \oplus x^2 \oplus x^3$	...
$ok^t$	$tt$	$tt$	$tt$	$tt$	...

**Observation:** Growing formulas in steps 1, 2, 3 describe only two possible vectors:  $(a^3, b^3, ok^3) \in \{(ff, tt, tt), (tt, ff, tt)\}$ .



# Pruning of symbolic formulas

## First case: Boolean LOLA Fragment

(Only Boolean streams, operators and assumptions)

$$a := a[-1|ff] \oplus x[now]$$

$$b := b[-1|tt] \oplus x[now]$$

$$ok := a[now] \oplus b[now] \quad // = true$$

$t$	0	1	2	3	...
$a^t$	$x^0$	$v^1$	$v^2$	$v^3$	...
$b^t$	$\neg x^0$	$\neg v^1$	$\neg v^2$	$\neg v^3$	...
$ok^t$	$tt$	$tt$	$tt$	$tt$	...

**Observation:** Growing formulas in steps 1, 2, 3 describe only two possible vectors:  $(a^3, b^3, ok^3) \in \{(ff, tt, tt), (tt, ff, tt)\}$ .

For the **Boolean fragment** trace-length independent symbolic monitoring is always possible!

# Pruning of symbolic formulas

## Second case: Linear Algebra LOLA Fragment

(Only Real streams/assumptions of form

$$s = c_1 \cdot s_1[o_1, d_1] + \dots + c_n \cdot s_n[o_n, d_n])$$

# Pruning of symbolic formulas

## Second case: Linear Algebra LOLA Fragment

(Only Real streams/assumptions of form

$$s = c_1 \cdot s_1[o_1, d_1] + \dots + c_n \cdot s_n[o_n, d_n])$$

$$acc_a := acc_a[-1|0] + ld_a[now]$$

$$acc_b := acc_b[-1|0] + ld_b[now]$$

$$total := total[-1|0] + \frac{1}{2}(ld_a[now] + ld_b[now])$$

$t$	0	1	2
$acc_a^t$	$ld_a^0$	$ld_a^0 + ld_a^1$	$ld_a^0 + ld_a^1 + ld_a^2$
$acc_b^t$	$ld_b^0$	$ld_b^0 + ld_b^1$	$ld_b^0 + ld_b^1 + ld_b^2$
$total^t$	$\frac{1}{2}(ld_a^0 + ld_b^0)$	$\frac{1}{2}(ld_a^0 + ld_b^0 + ld_a^1 + ld_b^1)$	$\frac{1}{2}(ld_a^0 + ld_b^0 + ld_a^1 + ld_b^1 + ld_a^2 + ld_b^2)$

# Pruning of symbolic formulas

## Second case: Linear Algebra LOLA Fragment

(Only Real streams/assumptions of form

$$s = c_1 \cdot s_1[o_1, d_1] + \dots + c_n \cdot s_n[o_n, d_n])$$

$$acc_a := acc_a[-1|0] + ld_a[now]$$

$$acc_b := acc_b[-1|0] + ld_b[now]$$

$$total := total[-1|0] + \frac{1}{2}(ld_a[now] + ld_b[now])$$

$t$	0	1	2
$acc_a^t$	$ld_a^0$	$ld_a^0 + ld_a^1$	$ld_a^0 + ld_a^1 + ld_a^2$
$acc_b^t$	$ld_b^0$	$ld_b^0 + ld_b^1$	$ld_b^0 + ld_b^1 + ld_b^2$
$total^t$	$\frac{1}{2}(ld_a^0 + ld_b^0)$	$\frac{1}{2}(ld_a^0 + ld_b^0 + ld_a^1 + ld_b^1)$	$\frac{1}{2}(ld_a^0 + ld_b^0 + ld_a^1 + ld_b^1 + ld_a^2 + ld_b^2)$

**Similar Observation:** Growing formulas in steps 1, 2, 3 all describe  $span\{(1, 0, \frac{1}{2}), (0, 1, \frac{1}{2})\}$ .

# Pruning of symbolic formulas

## Second case: Linear Algebra LOLA Fragment

(Only Real streams/assumptions of form

$$s = c_1 \cdot s_1[o_1, d_1] + \dots + c_n \cdot s_n[o_n, d_n])$$

$$acc_a := acc_a[-1|0] + ld_a[now]$$

$$acc_b := acc_b[-1|0] + ld_b[now]$$

$$total := total[-1|0] + \frac{1}{2}(ld_a[now] + ld_b[now])$$

$$\begin{pmatrix} acc_a^1 \\ acc_b^1 \\ total^1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \\ \frac{1}{2} & \frac{1}{2} & \frac{1}{2} & \frac{1}{2} \end{pmatrix} * \begin{pmatrix} ld_a^0 \\ ld_b^0 \\ ld_a^1 \\ ld_b^1 \end{pmatrix}$$

**Similar Observation:** Growing formulas in steps 1, 2, 3 all describe  $span\{(1, 0, \frac{1}{2}), (0, 1, \frac{1}{2})\}$ .

# Pruning of symbolic formulas

## Second case: Linear Algebra LOLA Fragment

(Only Real streams/assumptions of form

$$s = c_1 \cdot s_1[o_1, d_1] + \dots + c_n \cdot s_n[o_n, d_n])$$

$$acc_a := acc_a[-1|0] + ld_a[now]$$

$$acc_b := acc_b[-1|0] + ld_b[now]$$

$$total := total[-1|0] + \frac{1}{2}(ld_a[now] + ld_b[now])$$

$$\begin{pmatrix} acc_a^1 \\ acc_b^1 \\ total^1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \\ \frac{1}{2} & \frac{1}{2} \end{pmatrix} * \begin{pmatrix} u^1 \\ v^1 \end{pmatrix}$$

**Similar Observation:** Growing formulas in steps 1, 2, 3 all describe  $span\{(1, 0, \frac{1}{2}), (0, 1, \frac{1}{2})\}$ .

# Pruning of symbolic formulas

## Second case: Linear Algebra LOLA Fragment

(Only Real streams/assumptions of form

$$s = c_1 \cdot s_1[o_1, d_1] + \dots + c_n \cdot s_n[o_n, d_n])$$

$$acc_a := acc_a[-1|0] + ld_a[now]$$

$$acc_b := acc_b[-1|0] + ld_b[now]$$

$$total := total[-1|0] + \frac{1}{2}(ld_a[now] + ld_b[now])$$

$t$	0	1	2
$acc_a^t$	$ld_a^0$	$u^1$	$u^2$
$acc_b^t$	$ld_b^0$	$v^1$	$v^2$
$total^t$	$\frac{1}{2}(ld_a^0 + ld_b^0)$	$\frac{1}{2}(u^1 + v^1)$	$\frac{1}{2}(u^2 + v^2)$

**Similar Observation:** Growing formulas in steps 1, 2, 3 all describe  $span\{(1, 0, \frac{1}{2}), (0, 1, \frac{1}{2})\}$ .



For the **Linear Algebra fragment**  
trace-length independent symbolic  
monitoring is always possible!

# Pruning of symbolic formulas

## **Third case: Linear Arithmetic LOLA Fragment**

(Combination of previous fragments with additional operators  $==$  and  $<$ )

# Pruning of symbolic formulas

## Third case: Linear Arithmetic LOLA Fragment

(Combination of previous fragments with additional operators == and <)

**A perfect pruning is not possible here!**

$$\begin{array}{l} x := x[-1|0] + i[now] \\ y := 2 * y[-1|0] + i[now] \end{array} \quad \begin{pmatrix} x^2 \\ y^2 \end{pmatrix} = \begin{pmatrix} 1 & 1 & 1 \\ 4 & 2 & 1 \end{pmatrix} * \begin{pmatrix} i^0 \\ i^1 \\ i^2 \end{pmatrix}$$

Assumption:  $0 \leq i[now] \leq 1$

# Pruning of symbolic formulas

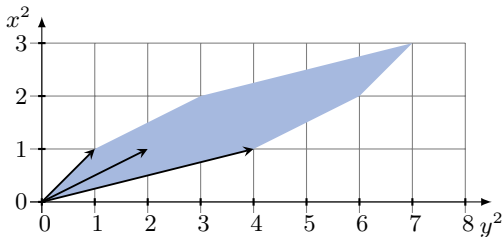
## Third case: Linear Arithmetic LOLA Fragment

(Combination of previous fragments with additional operators == and <)

**A perfect pruning is not possible here!**

$$\begin{array}{l} x := x[-1|0] + i[now] \\ y := 2 * y[-1|0] + i[now] \end{array} \quad \begin{pmatrix} x^2 \\ y^2 \end{pmatrix} = \begin{pmatrix} 1 & 1 & 1 \\ 4 & 2 & 1 \end{pmatrix} * \begin{pmatrix} i^0 \\ i^1 \\ i^2 \end{pmatrix}$$

Assumption:  $0 \leq i[now] \leq 1$



# Pruning of symbolic formulas

## Third case: Linear Arithmetic LOLA Fragment

(Combination of previous fragments with additional operators  $==$  and  $<$ )

### Sound, but imperfect (over-approximating) pruning strategy:

- ▶ Perform pruning strategies for Boolean and Real streams separately.
- ▶ Calculate (over approximating) bounds for new Real variables (e.g. with Linear Arithmetic Optimizer).
- ▶ Add calculated bounds as constraints.

# Pruning of symbolic formulas

## Third case: Linear Arithmetic LOLA Fragment

(Combination of previous fragments with additional operators  $==$  and  $<$ )

### Sound, but imperfect (over-approximating) pruning strategy:

- ▶ Perform pruning strategies for Boolean and Real streams separately.
- ▶ Calculate (over approximating) bounds for new Real variables (e.g. with Linear Arithmetic Optimizer).
- ▶ Add calculated bounds as constraints.

⇒ Leads to imperfect over-approximation of exact polyhedron.

For the **Linear Arithmetic fragment**  
trace-length independent symbolic  
monitoring is not possible!

For the **Linear Arithmetic fragment**  
trace-length independent symbolic  
monitoring is not possible!

But: Over-approximation possible



# Addition: Quantifier-Elimination

Quantifier elimination can be used to get a perfect pruning strategy.

# Addition: Quantifier-Elimination

Quantifier elimination can be used to get a perfect pruning strategy.

Given: Set of equations

$$\{s_1 = \varphi_1[i_1, \dots, i_m], \dots, s_n = \varphi_n[i_1, \dots, i_m]\}$$

# Addition: Quantifier-Elimination

Quantifier elimination can be used to get a perfect pruning strategy.

Given: Set of equations

$$\{s_1 = \varphi_1[i_1, \dots, i_m], \dots, s_n = \varphi_n[i_1, \dots, i_m]\}$$

Find quantifier-free formula

$$\psi \equiv \exists i_1, \dots, i_m. ((s_1 = \varphi_1[i_1, \dots, i_m]) \wedge \dots \wedge (s_n = \varphi_n[i_1, \dots, i_m]))$$

# Addition: Quantifier-Elimination

Quantifier elimination can be used to get a perfect pruning strategy.

Given: Set of equations

$$\{s_1 = \varphi_1[i_1, \dots, i_m], \dots, s_n = \varphi_n[i_1, \dots, i_m]\}$$

Find quantifier-free formula

$$\psi \equiv \exists i_1, \dots, i_m. ((s_1 = \varphi_1[i_1, \dots, i_m]) \wedge \dots \wedge (s_n = \varphi_n[i_1, \dots, i_m]))$$

**But:** QE is usually perfect, but not constant, and does not exist for every logic.

# Evaluation

Developed proof-of-concept implementation in Scala with z3 as SMT solver and optimizer.

# Evaluation

Developed proof-of-concept implementation in Scala with z3 as SMT solver and optimizer.

Evaluated concept on two case-studies from previous publications:

# Evaluation

Developed proof-of-concept implementation in Scala with z3 as SMT solver and optimizer.

Evaluated concept on two case-studies from previous publications:

- ▶ **Emission Example:** LOLA specification monitoring a car test drive and checking for NO<sub>x</sub> emission and valid test ride. ( $\sim x$  offset/function applications)

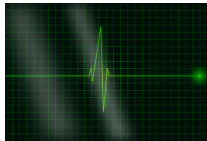


# Evaluation

Developed proof-of-concept implementation in Scala with z3 as SMT solver and optimizer.

Evaluated concept on two case-studies from previous publications:

- ▶ **Emission Example:** LOLA specification monitoring a car test drive and checking for NO<sub>x</sub> emission and valid test ride. ( $\sim x$  offset/function applications)
- ▶ **Heart Rate Example:** LOLA specification which detects peaks in an ECG signal ( $\sim x$  offset/function applications).



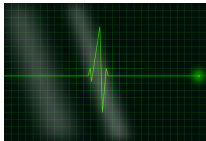


# Evaluation

Developed proof-of-concept implementation in Scala with z3 as SMT solver and optimizer.

Evaluated concept on two case-studies from previous publications:

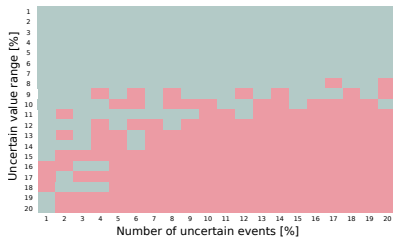
- ▶ **Emission Example:** LOLA specification monitoring a car test drive and checking for NO<sub>x</sub> emission and valid test ride. ( $\sim x$  *offset/function applications*)
- ▶ **Heart Rate Example:** LOLA specification which detects peaks in an ECG signal ( $\sim x$  *offset/function applications*).



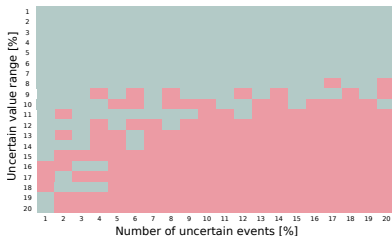
⇒ Introduced different kinds of uncertainty into the traces and added assumptions.

Compared to interval approach from previous publication.

# Evaluation: Emission Example



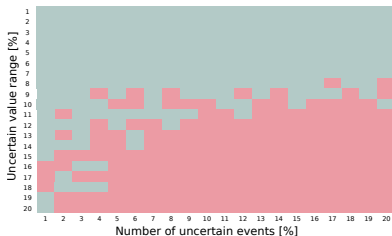
# Evaluation: Emission Example



## Observations:

- ▶ If interval around correct value is known symbolic approach is still able to give certain results (figure; green part).

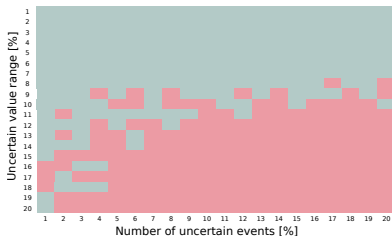
# Evaluation: Emission Example



## Observations:

- ▶ If interval around correct value is known symbolic approach is still able to give certain results (figure; green part).
- ▶ More precise intermediate results than interval approach, but no difference in final results.

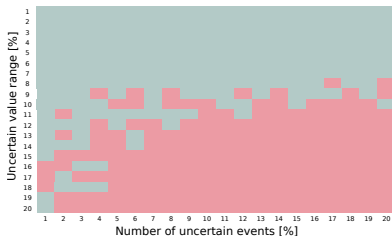
# Evaluation: Emission Example



## Observations:

- ▶ If interval around correct value is known symbolic approach is still able to give certain results (figure; green part).
- ▶ More precise intermediate results than interval approach, but no difference in final results.
- ▶ If inputs are fully unknown no certain results.

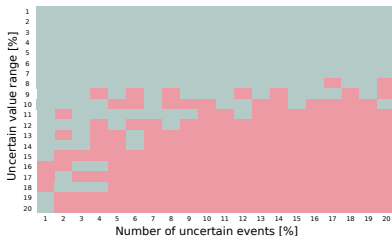
# Evaluation: Emission Example



## Observations:

- ▶ If interval around correct value is known symbolic approach is still able to give certain results (figure; green part).
- ▶ More precise intermediate results than interval approach, but no difference in final results.
- ▶ If inputs are fully unknown no certain results.
- ▶ With additional assumptions (e.g. limited acceleration): Certain results up to 4% of fully uncertain inputs.

# Evaluation: Emission Example



## Observations:

- ▶ If interval around correct value is known symbolic approach is still able to give certain results (figure; green part).
- ▶ More precise intermediate results than interval approach, but no difference in final results.
- ▶ If inputs are fully unknown no certain results.
- ▶ With additional assumptions (e.g. limited acceleration): Certain results up to 4% of fully uncertain inputs.
- ▶ Interval approach does not support assumptions.

# Evaluation: Heart Rate Example

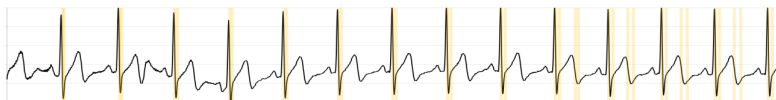
Certain percentage of values uncertain (interval of 20% around real value)  
[■ peak detected; ■ uncertain detection]



# Evaluation: Heart Rate Example

Certain percentage of values uncertain (interval of 20% around real value)  
[■ peak detected; ■ uncertain detection]

Interval approach [5% uncertain values]:

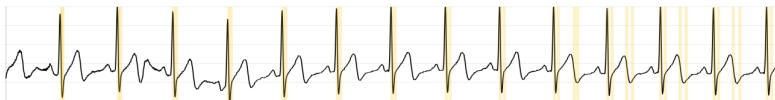


⇒ Due to interval arithmetic uncertainty gets accumulated forever.

# Evaluation: Heart Rate Example

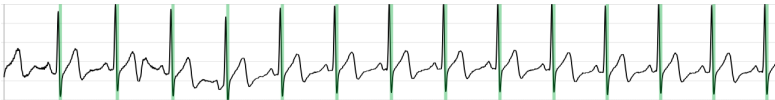
**Certain percentage of values uncertain (interval of 20% around real value)**  
[■ peak detected; ■ uncertain detection]

Interval approach [5% uncertain values]:



⇒ Due to interval arithmetic uncertainty gets accumulated forever.

Symbolic approach with assumption (two heart peaks cannot be too close)  
[20% uncertain values]:



# Evaluation: Heart Rate Example

**Bursts: 5 to 20 fully uncertain events in a row**

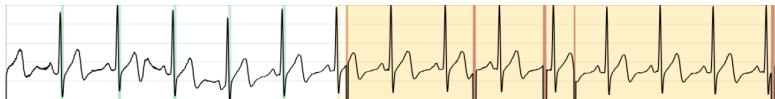
**[■ burst; ■ peak detected; ■ uncertain detection]**

# Evaluation: Heart Rate Example

**Bursts: 5 to 20 fully uncertain events in a row**

[■ burst; ■ peak detected; ■ uncertain detection]

Interval approach:



⇒ Again due to interval arithmetic total uncertainty is accumulated forever.

# Evaluation: Heart Rate Example

**Bursts: 5 to 20 fully uncertain events in a row**

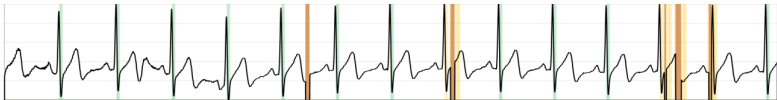
[■ burst; ■ peak detected; ■ uncertain detection]

Interval approach:



⇒ Again due to interval arithmetic total uncertainty is accumulated forever.

Symbolic approach with assumption (two heart peaks cannot be too close):

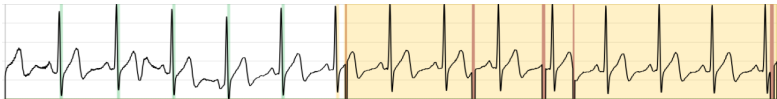


# Evaluation: Heart Rate Example

**Bursts: 5 to 20 fully uncertain events in a row**

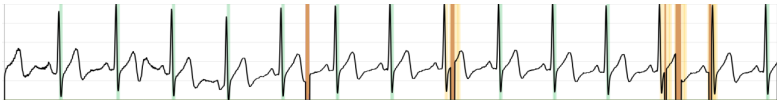
[■ burst; ■ peak detected; ■ uncertain detection]

Interval approach:



⇒ Again due to interval arithmetic total uncertainty is accumulated forever.

Symbolic approach with assumption (two heart peaks cannot be too close):



**Drawback: Symbolic approach (with assumptions) has sig. increased runtime: 25-100 ms/event**

# Evaluation: Heart Rate Example

**Bursts: 5 to 20 fully uncertain events in a row**

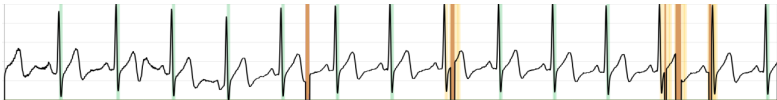
[■ burst; ■ peak detected; ■ uncertain detection]

Interval approach:



⇒ Again due to interval arithmetic total uncertainty is accumulated forever.

Symbolic approach with assumption (two heart peaks cannot be too close):



**Research question:** How to use solver more efficiently for regular problem

# Conclusion & Future Work

## Conclusion

- ▶ **Symbolic evaluation** is a powerful, generic approach for Runtime Verification under **Uncertainty** and **Assumptions**
- ▶ **Pruning strategies** allow trace-length independent monitoring
- ▶ For some fragments **perfect pruning strategies** exist, for others not
- ▶ Approach useful in practice, but significantly slower than interval-based approaches



# Conclusion & Future Work

## Conclusion

- ▶ **Symbolic evaluation** is a powerful, generic approach for Runtime Verification under **Uncertainty** and **Assumptions**
- ▶ **Pruning strategies** allow trace-length independent monitoring
- ▶ For some fragments **perfect pruning strategies** exist, for others not
- ▶ Approach useful in practice, but significantly slower than interval-based approaches

## Future Work

- ▶ Support future offsets (and future assumptions)
- ▶ Investigate further LOLA fragments
- ▶ Improve implementation