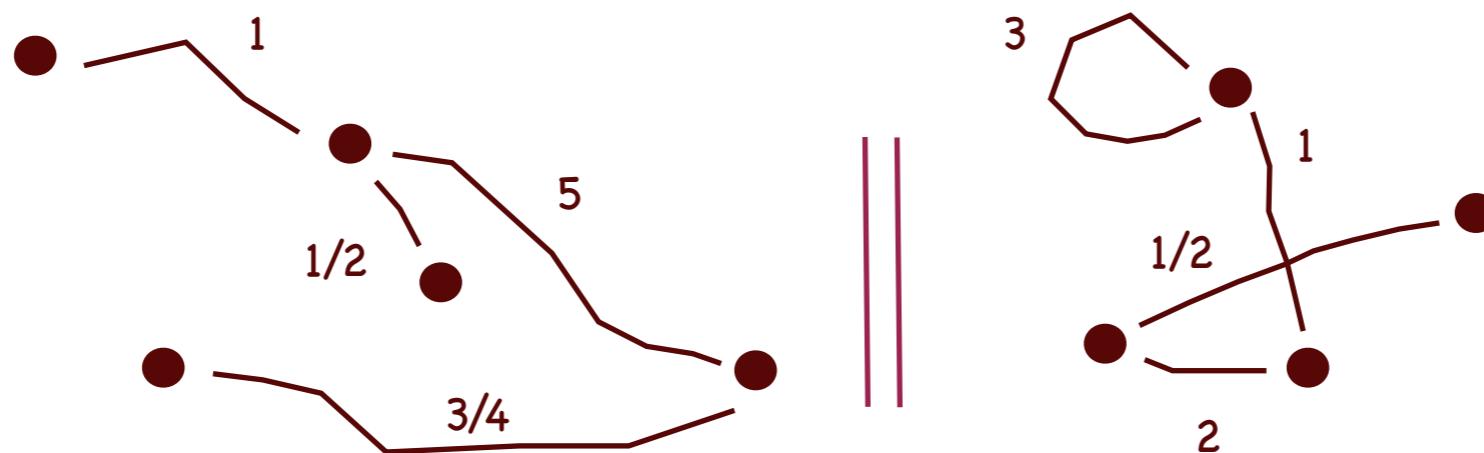


# Semantics for Probability and Concurrency

Ana Sokolova UNIVERSITY of SALZBURG



# Rigorous methods for engineering of and reasoning about reactive systems

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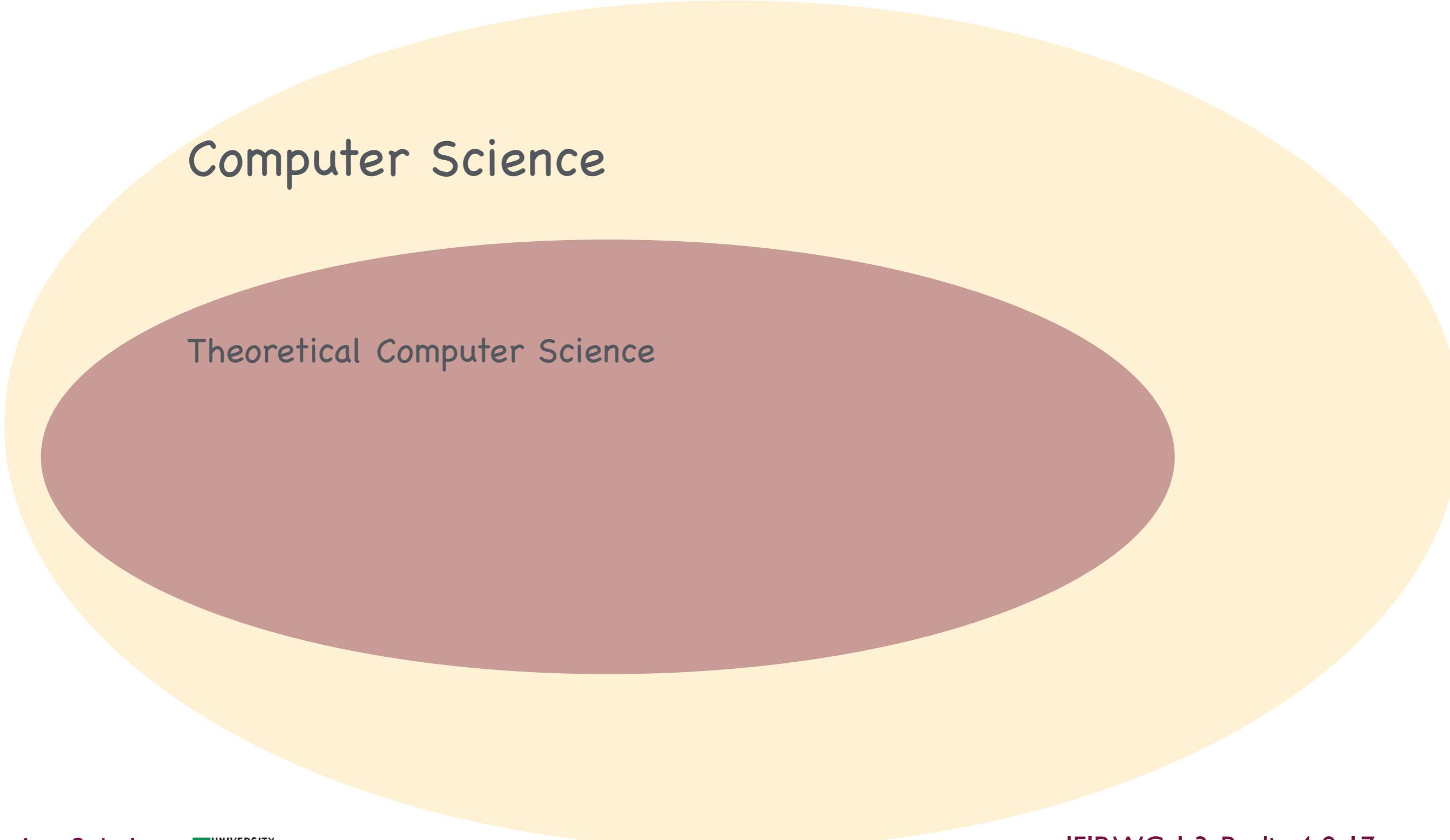
concurrent

# Background big picture

# Background big picture

Computer Science

# Background big picture

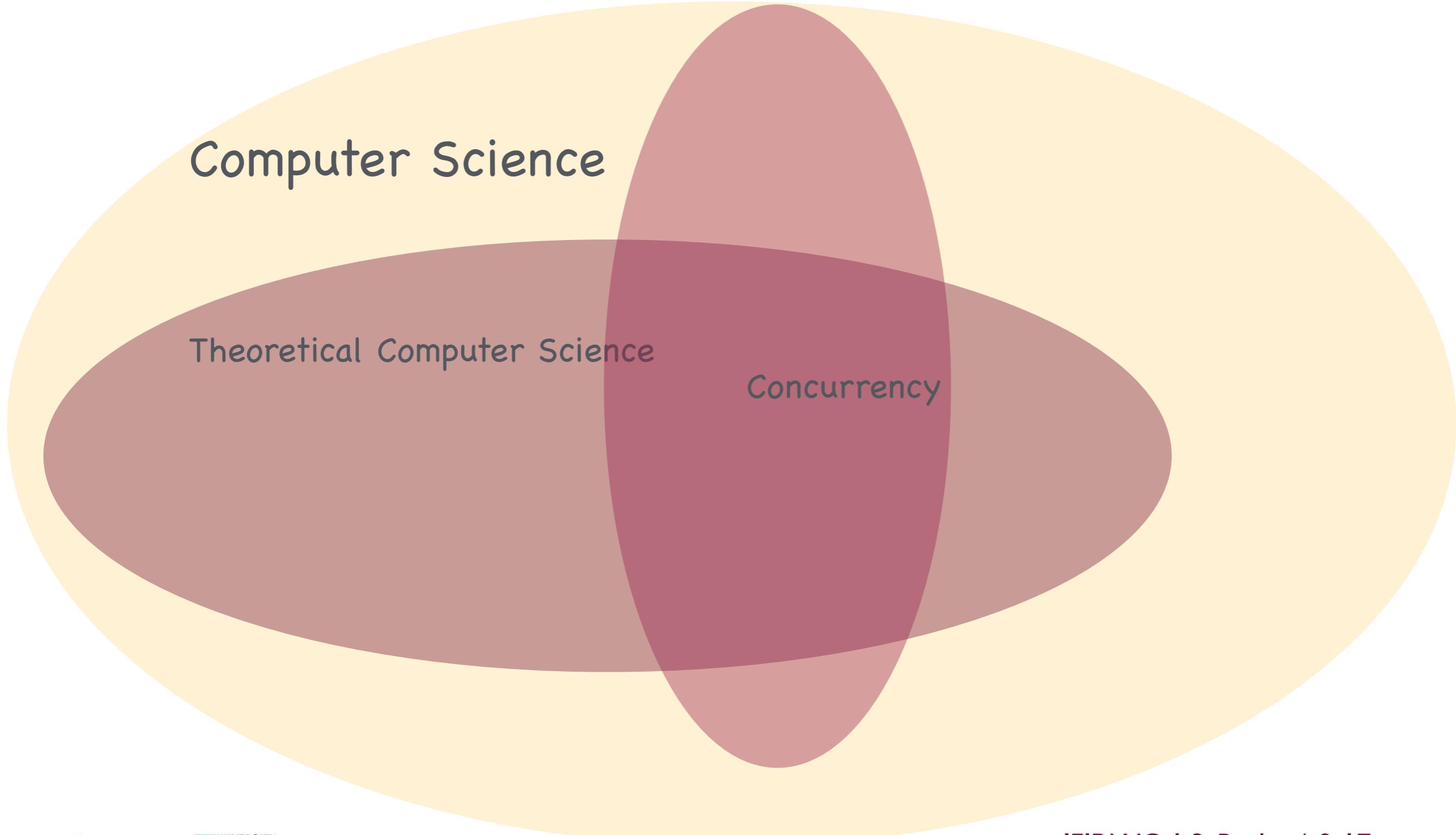


A Venn diagram consisting of two nested ellipses. The outer ellipse is light yellow and labeled "Computer Science". The inner ellipse is dark red and labeled "Theoretical Computer Science".

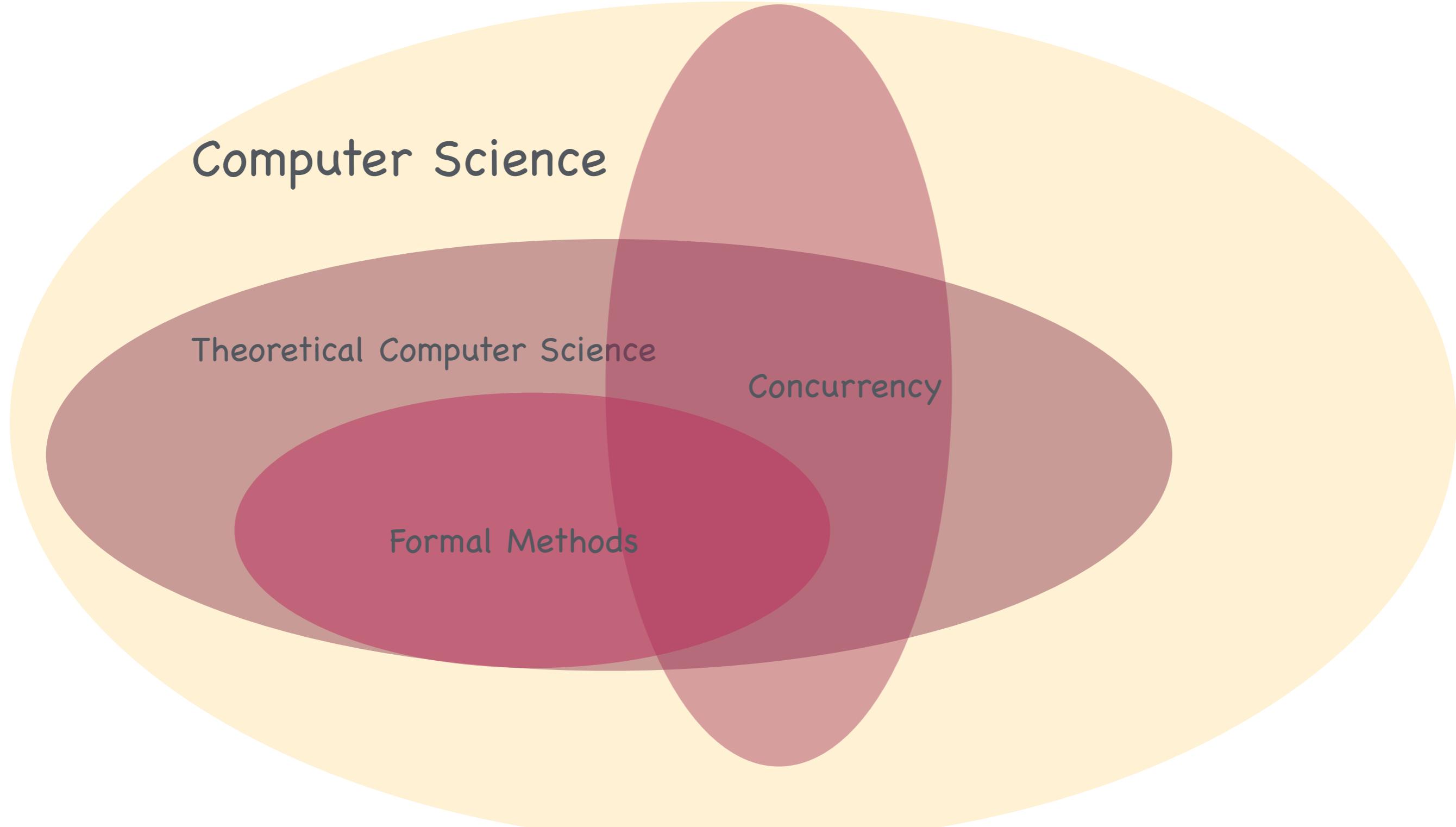
Computer Science

Theoretical Computer Science

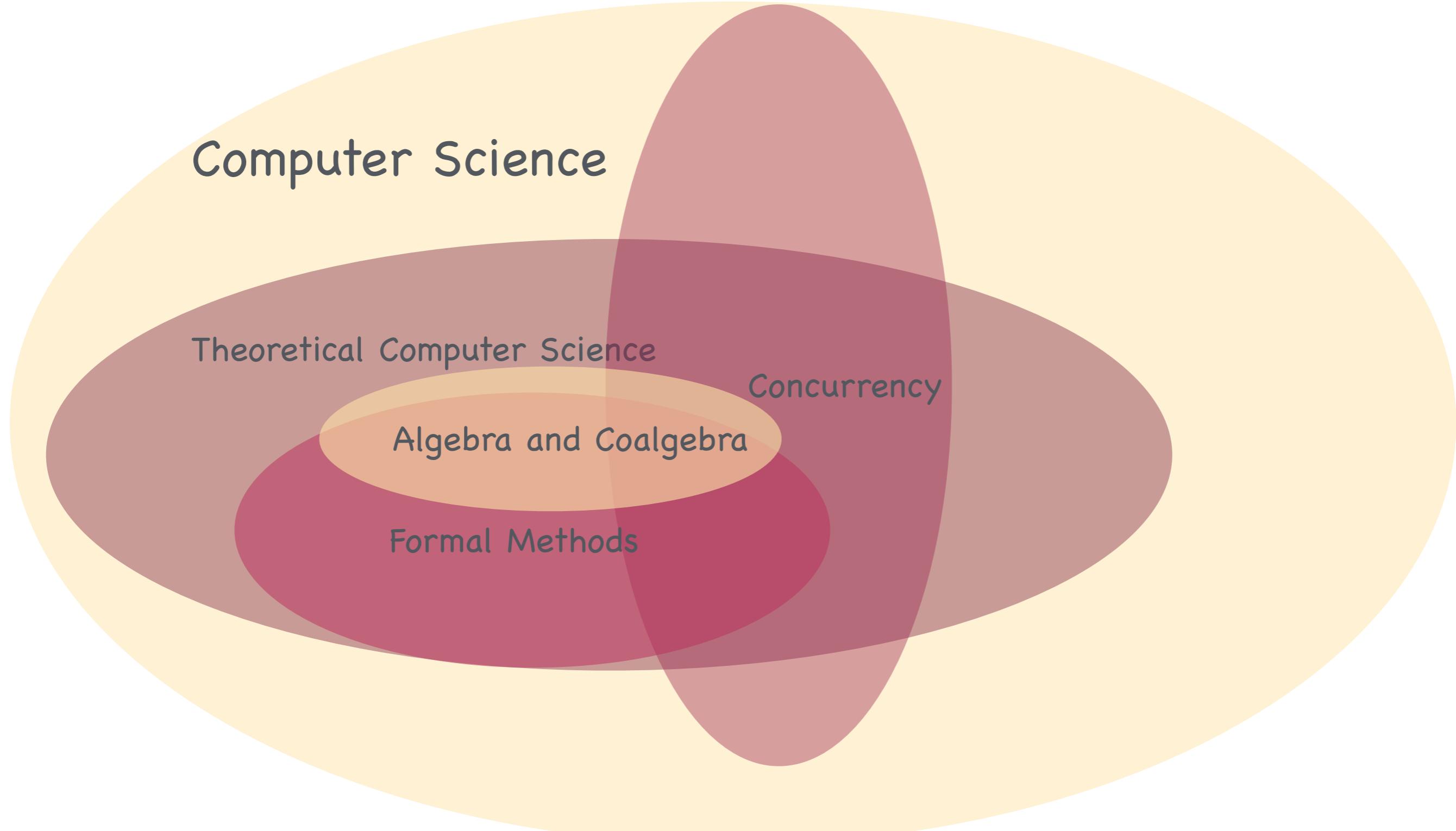
# Background big picture



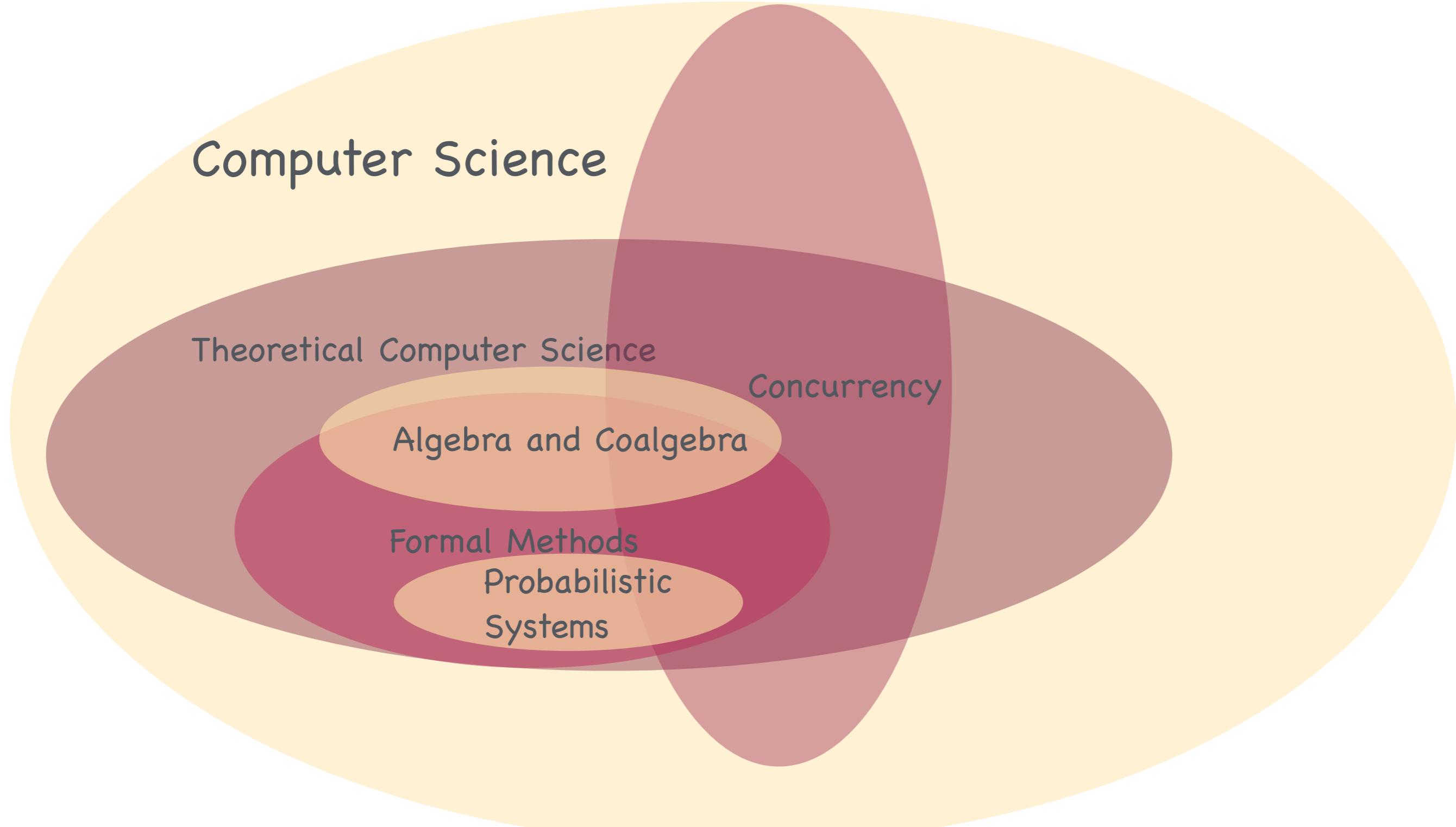
# Background big picture



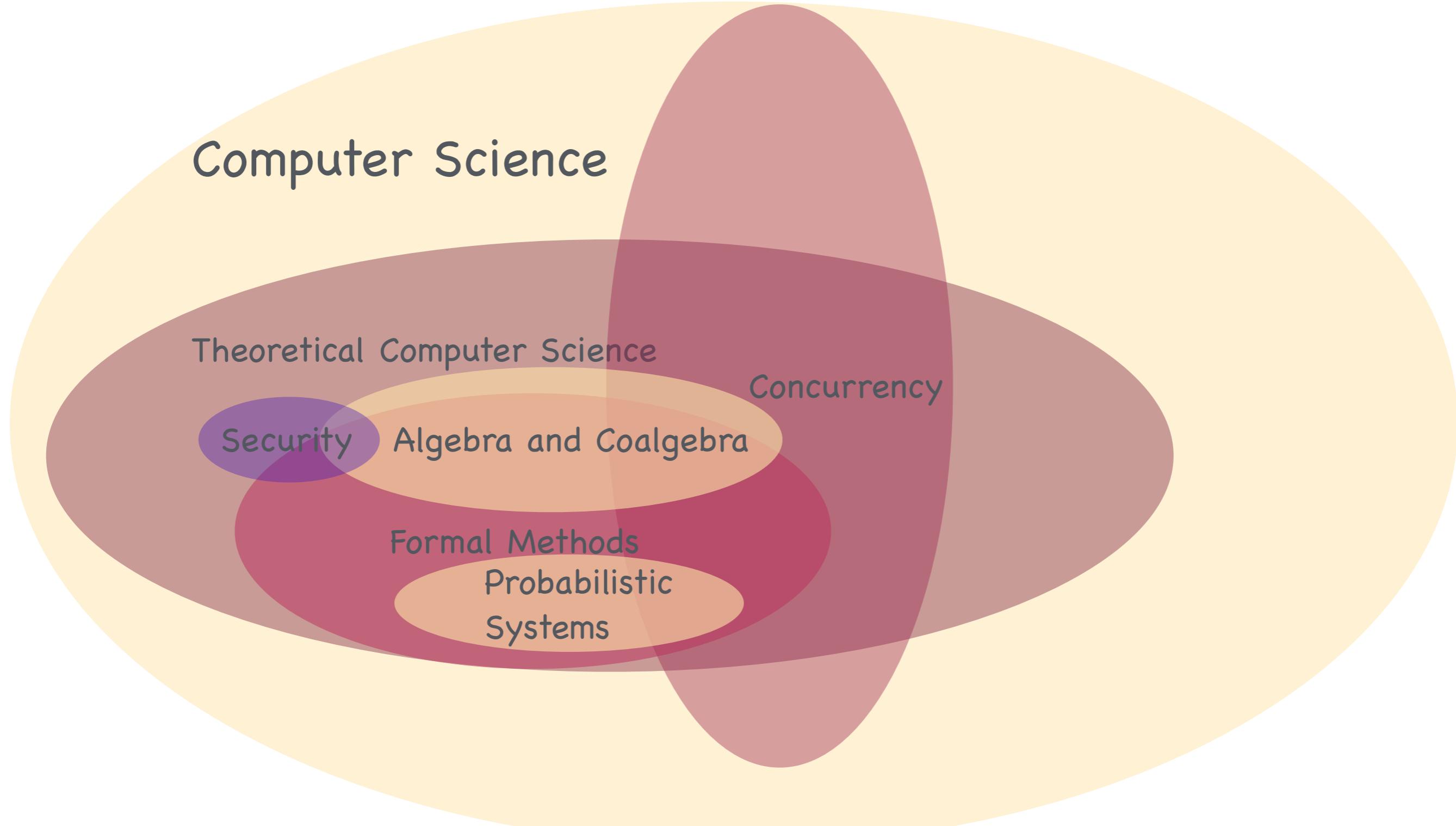
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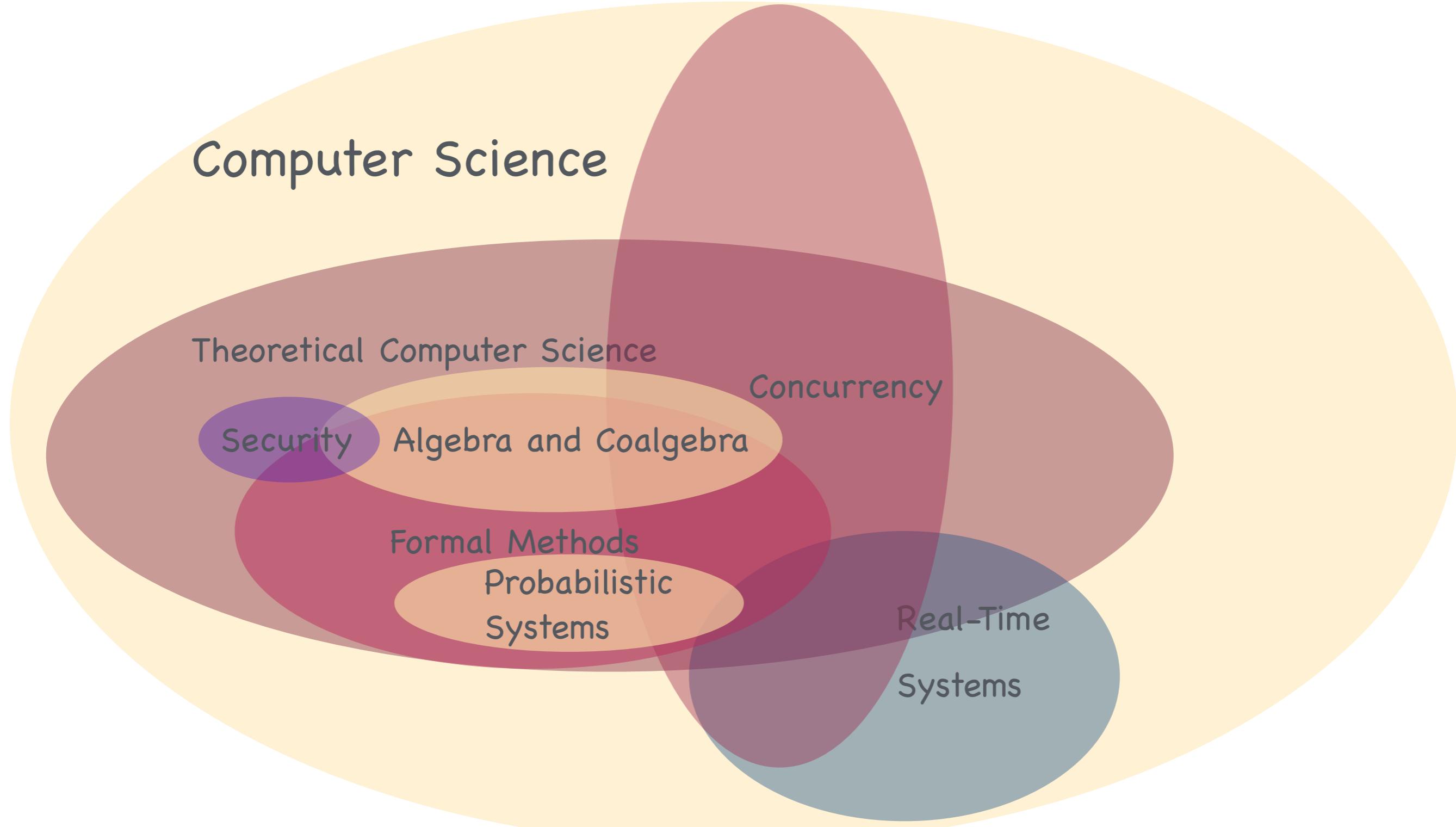
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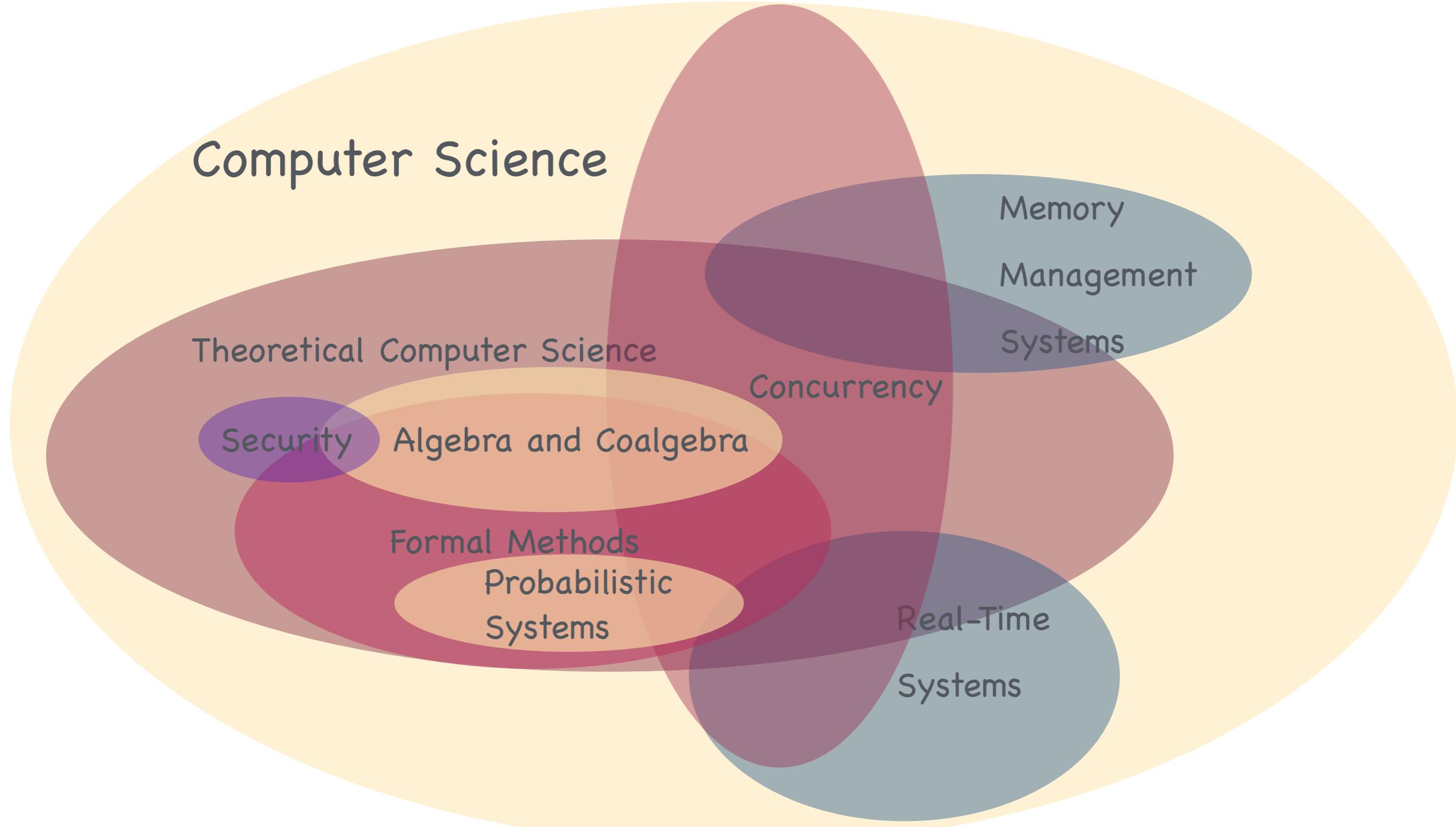
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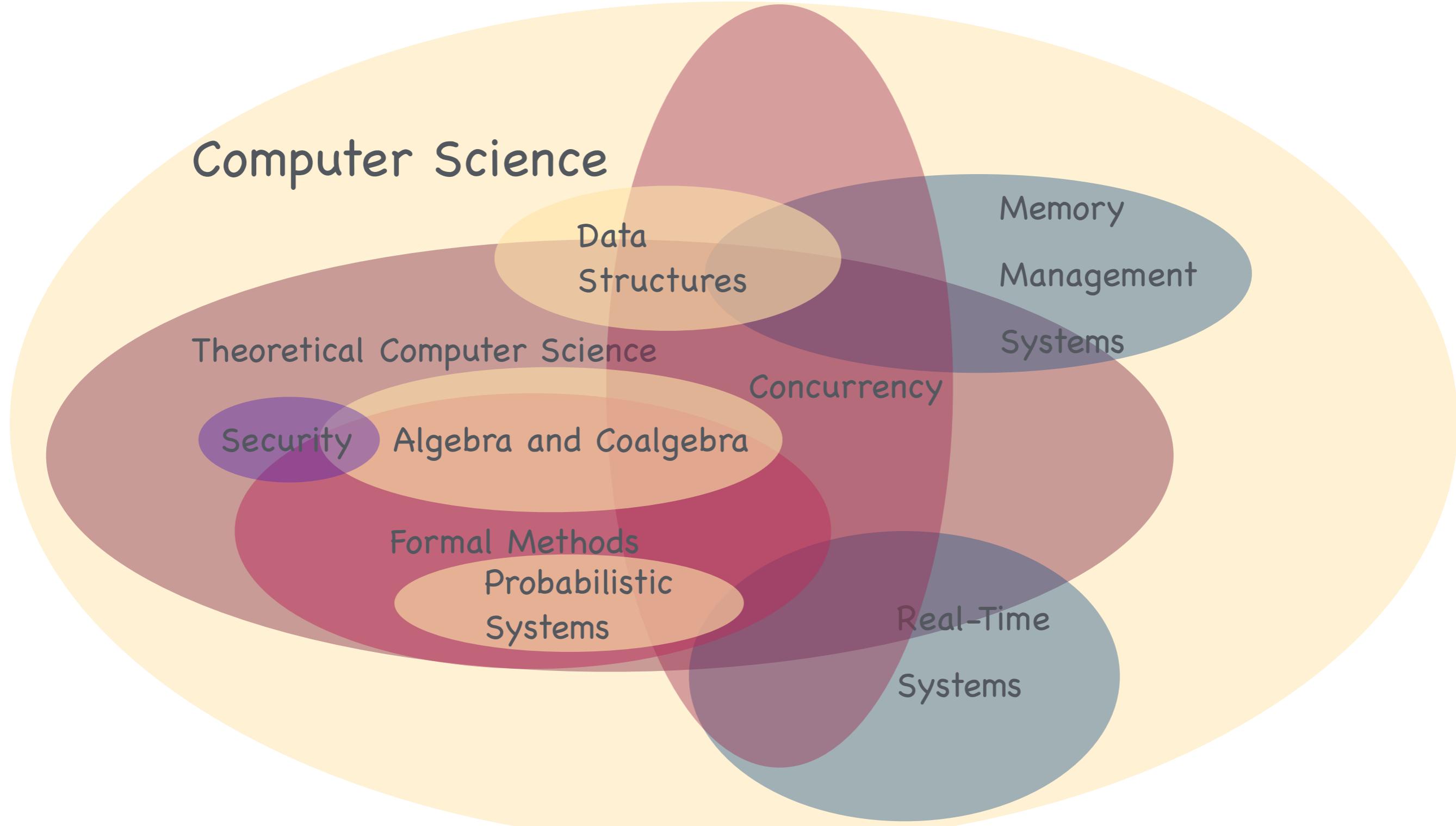
# Background big picture



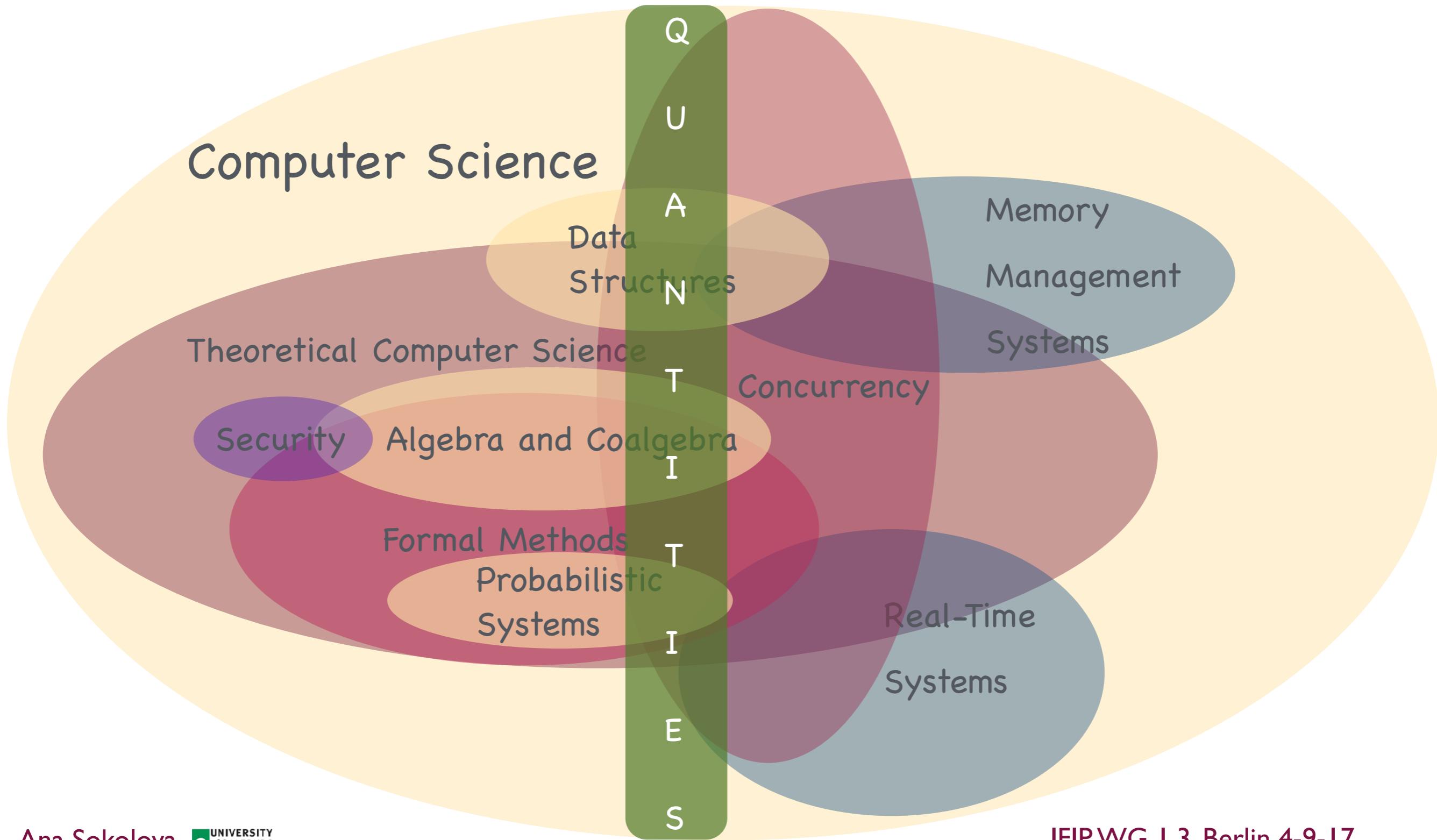
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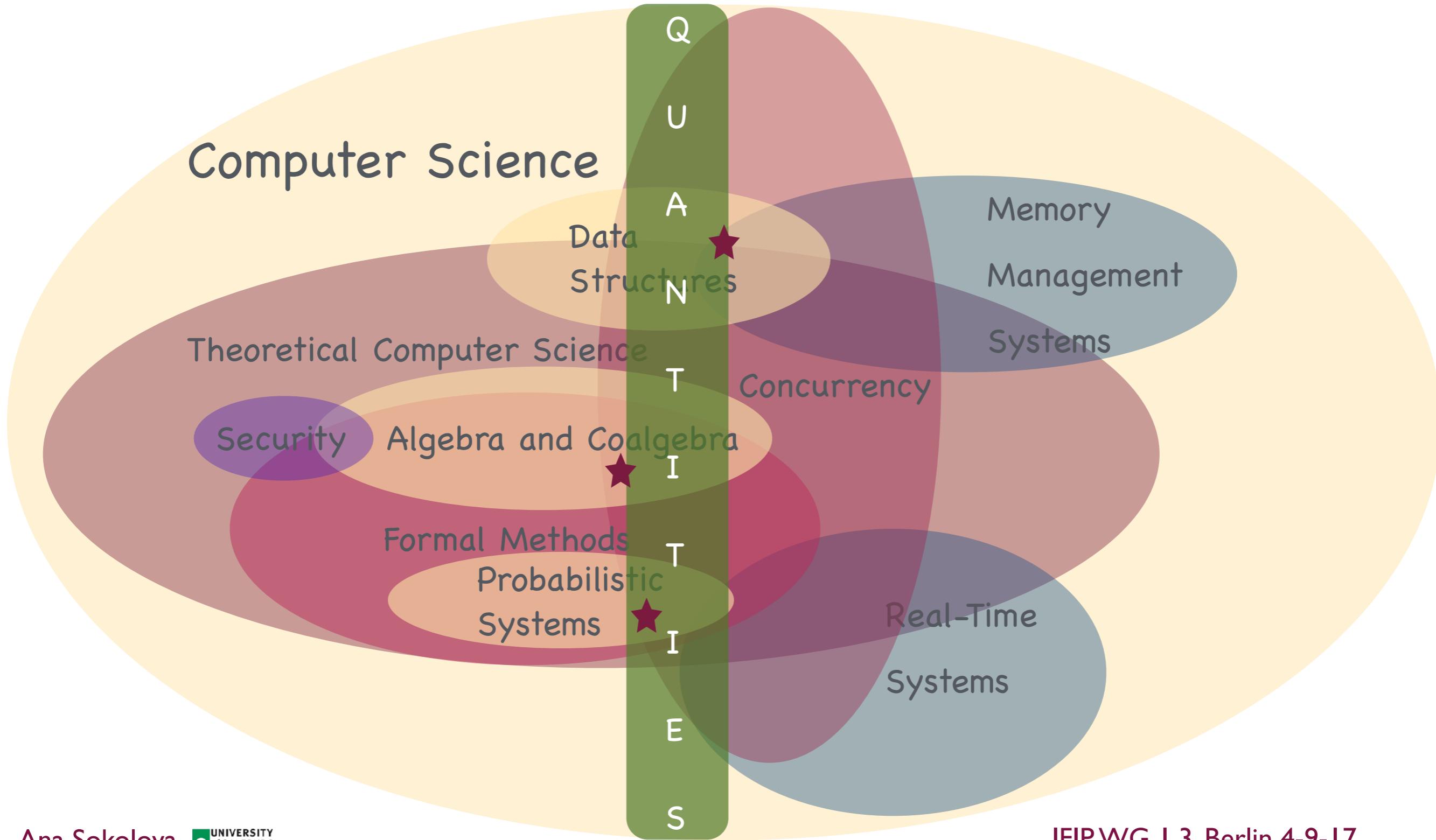
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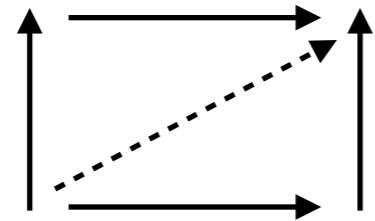
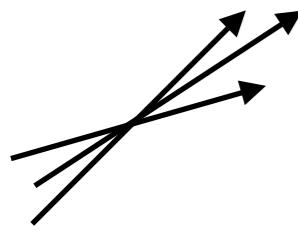


# Background big picture



# Current favourites

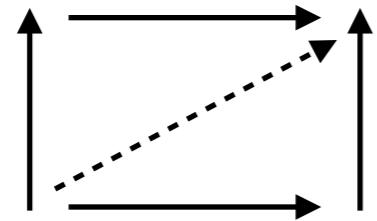
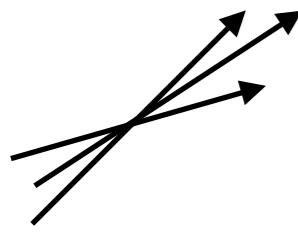




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# Part I

## Coalgebra/algebra + probability highlights

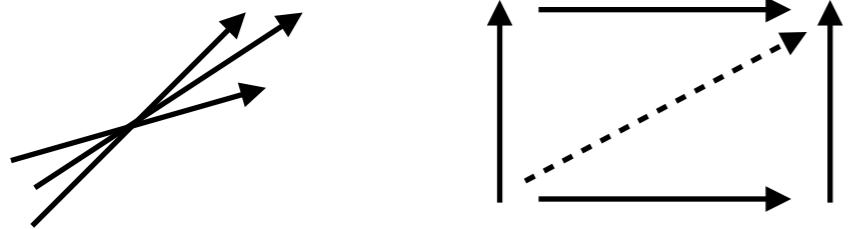


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# Part I

## Coalgebra/algebra + probability highlights

Mathematical framework  
based on category theory  
for state-based  
systems semantics



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# Coalgebra/algebra + probability highlights

Mathematical framework  
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- A. S. Probabilistic systems coalgebraically TCS'11
- B. Jacobs, I. Hasuo, A. S. Generic trace semantics via coinduction LMCS'07
- B. Jacobs, I. Hasuo, A. S. The microcosm principle and concurrency in coalgebra FoSSaCS'08
- A. Silva, A. S. Sound and complete axiomatisation of trace semantics for probabilistic systems MFPS'11
- B. Jacobs, A. Silva, A. S. Trace semantics via determinization JSS'15
- A. S., H. Woracek Congruences of convex algebras JPAA'15
- A. S., H. Woracek Termination in convex sets of distributions CALCO'17
- F. Bonchi, A. Silva, A. S. The power of convex algebras CONCUR'17

# Joint work with



Erik de Vink **TU/e**



Bart Jacobs  
Radboud University



Ichiro Hasuo



Harald Woracek



Alexandra Silva



Filippo Bonchi



# Modelling discrete probabilistic systems

Probability distribution functor on **Sets**

$$\mathcal{D}X = \{\mu: X \rightarrow [0, 1] \mid \sum_{x \in X} \mu(x) = 1\}$$

for  $f: X \rightarrow Y$  we have  $\mathcal{D}f: \mathcal{D}X \rightarrow \mathcal{D}Y$  by

$$\mathcal{D}f(\mu)(y) = \sum_{x \in f^{-1}(y)} \mu(x) = \mu(f^{-1}(y))$$

# Modelling discrete probabilistic systems

Probability distribution functor on **Sets**

$$\mathcal{D}X = \{\mu: X \rightarrow [0, 1] \mid \sum_{x \in X} \mu(x) = 1\}$$

and its variants

$$\mathcal{D}_{\leqslant 1}X = \{\mu: X \rightarrow [0, 1] \mid \sum_{x \in X} \mu(x) \leqslant 1\}$$

$$\mathcal{D}_fX = \{\mu: X \rightarrow [0, 1] \mid \sum_{x \in X} \mu(x) = 1, \text{supp}(\mu) \text{ is finite}\}$$

# Modelling discrete probabilistic systems

Almost all known probabilistic systems can be modelled as coalgebras on **Sets** for functors given by the following grammar:

$$F ::= - \mid A \mid \mathcal{D} \mid \mathcal{P} \mid F^A \mid F + F \mid F \circ F \mid F \times F$$

in all cases concrete and coalgebraic bisimilarity (and behavioural equivalence) coincide

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$$X \xrightarrow{c} FX$$

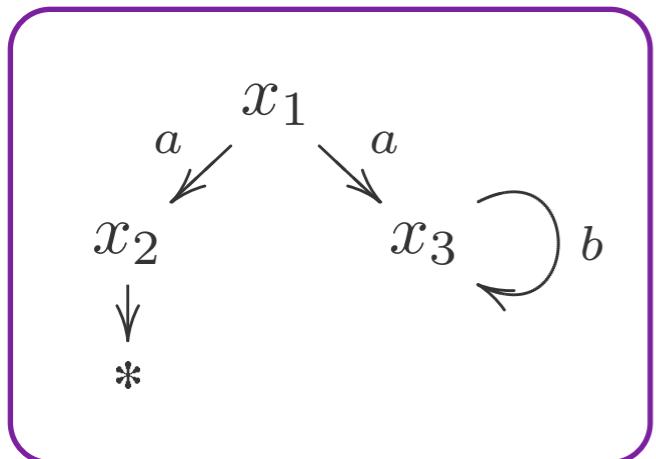
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# Examples

# Examples

NFA

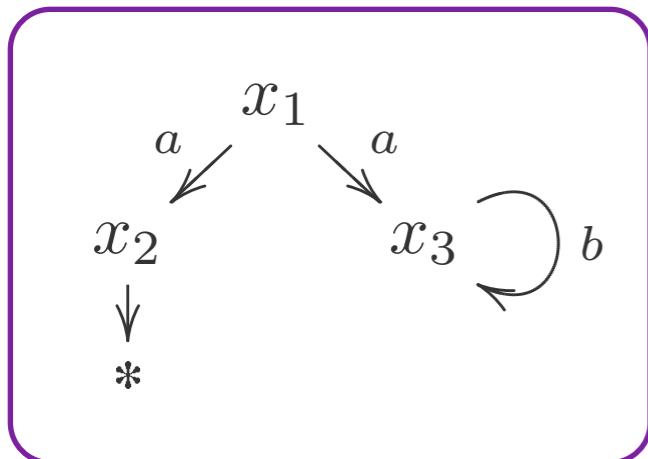
$$2 \times (\mathcal{P}(-))^A \cong \mathcal{P}(1 + A \times (-))$$



# Examples

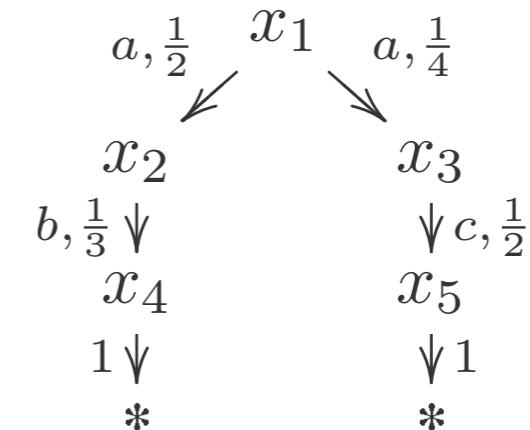
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Generative PTS

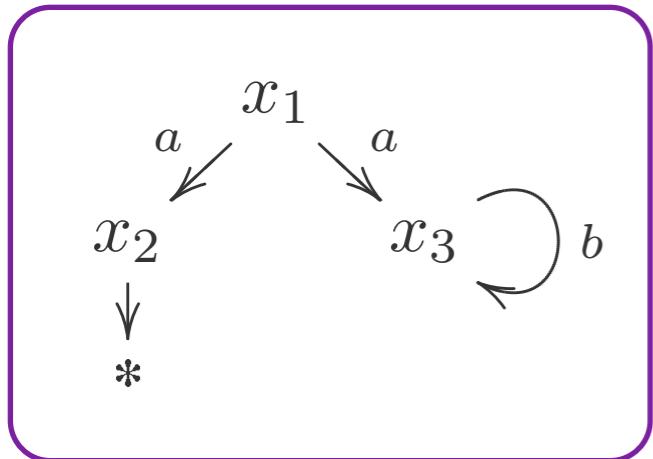
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# Examples

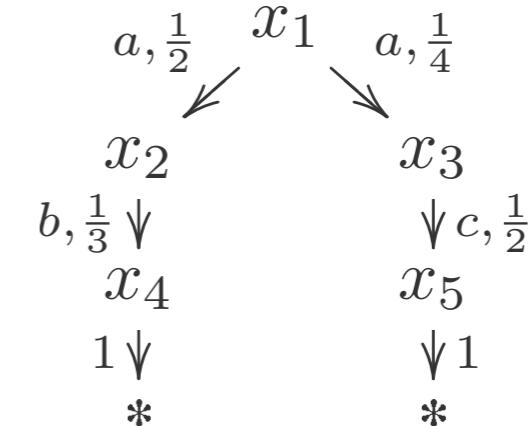
NFA

$$2 \times (\mathcal{P}(-))^A \approx \mathcal{P}(1 + A \times (-))$$



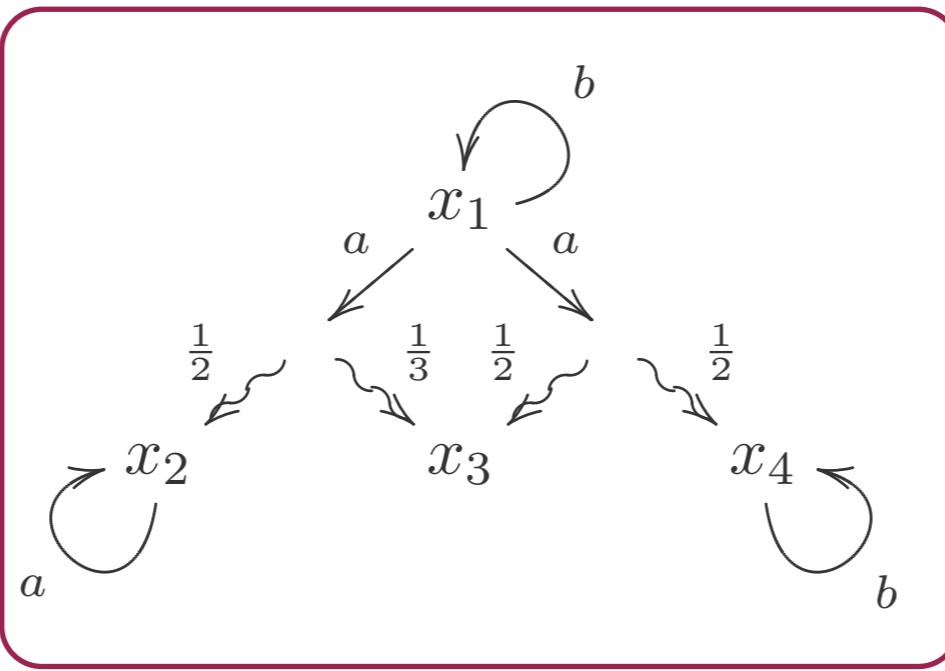
Generative PTS

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Simple PA

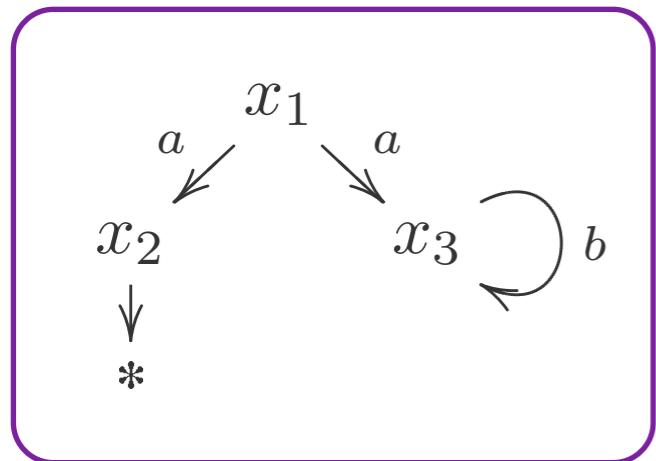
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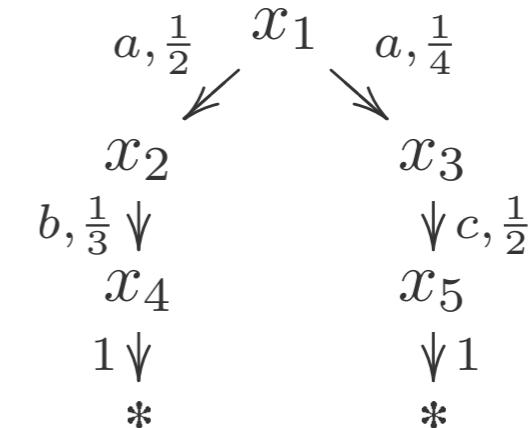
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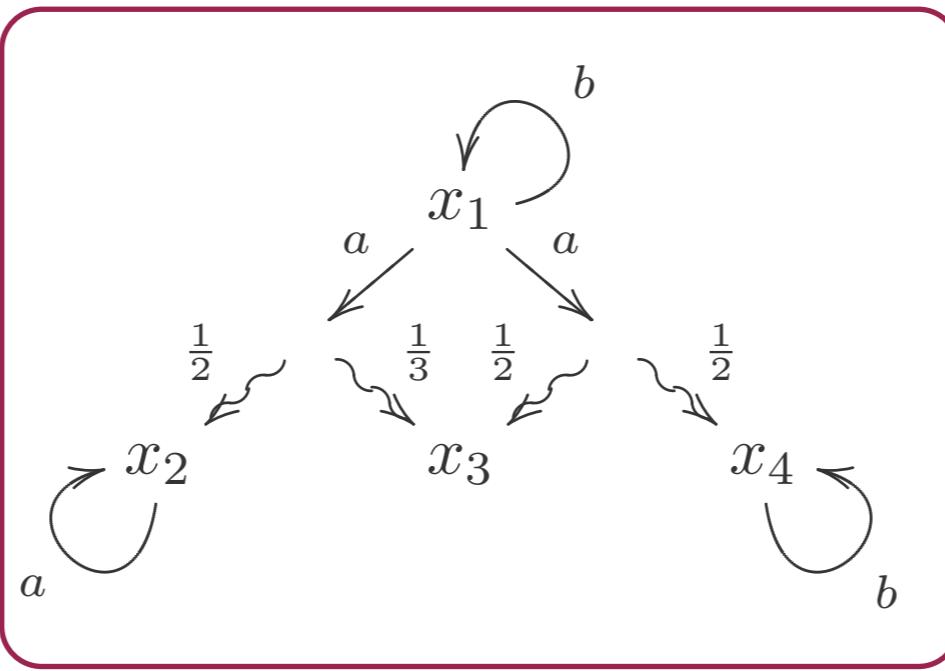
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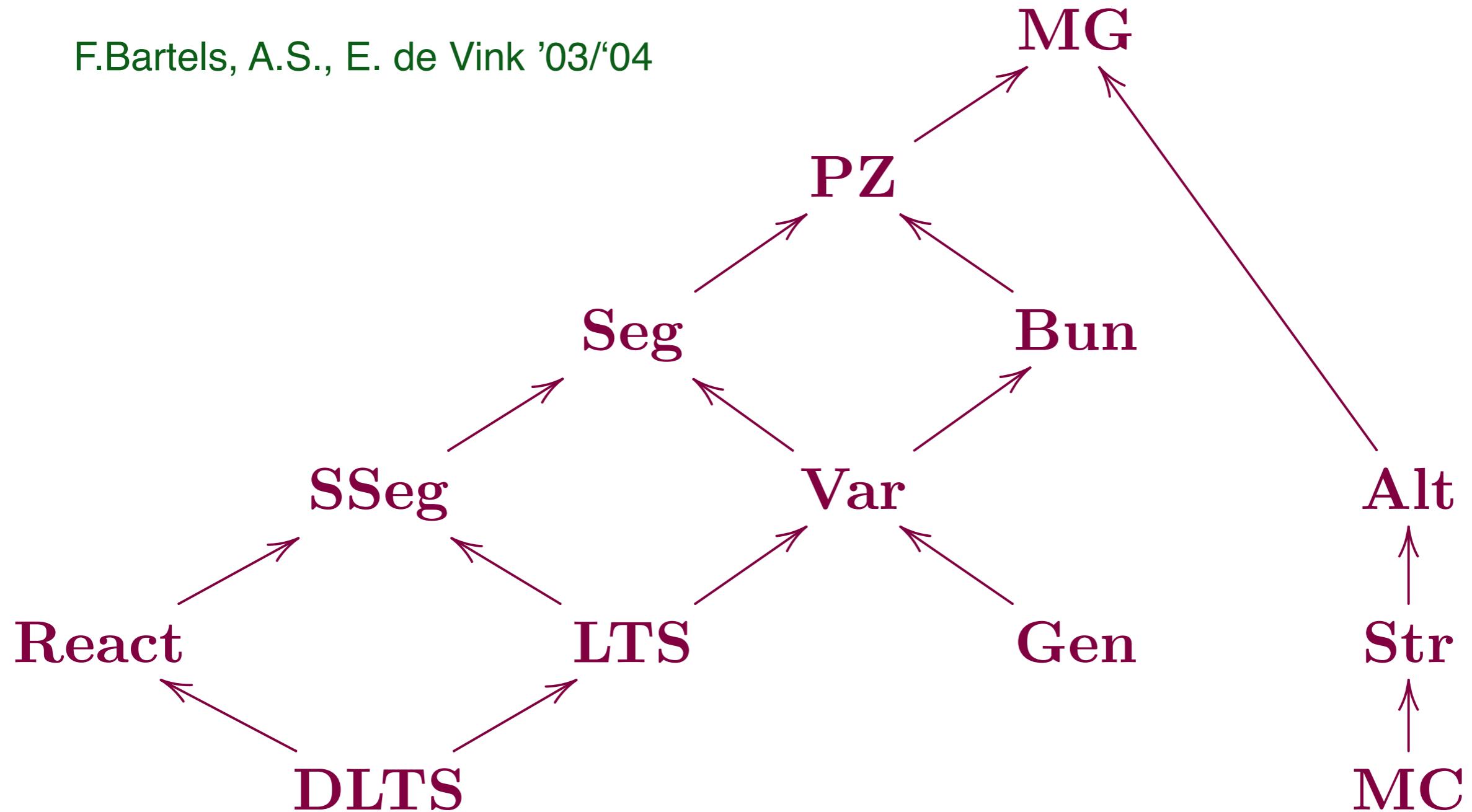
$$\mathcal{P}(A \times \mathcal{D}(-))$$



Here  $\mathcal{D}$  for  $\mathcal{D}_{\leq 1}$

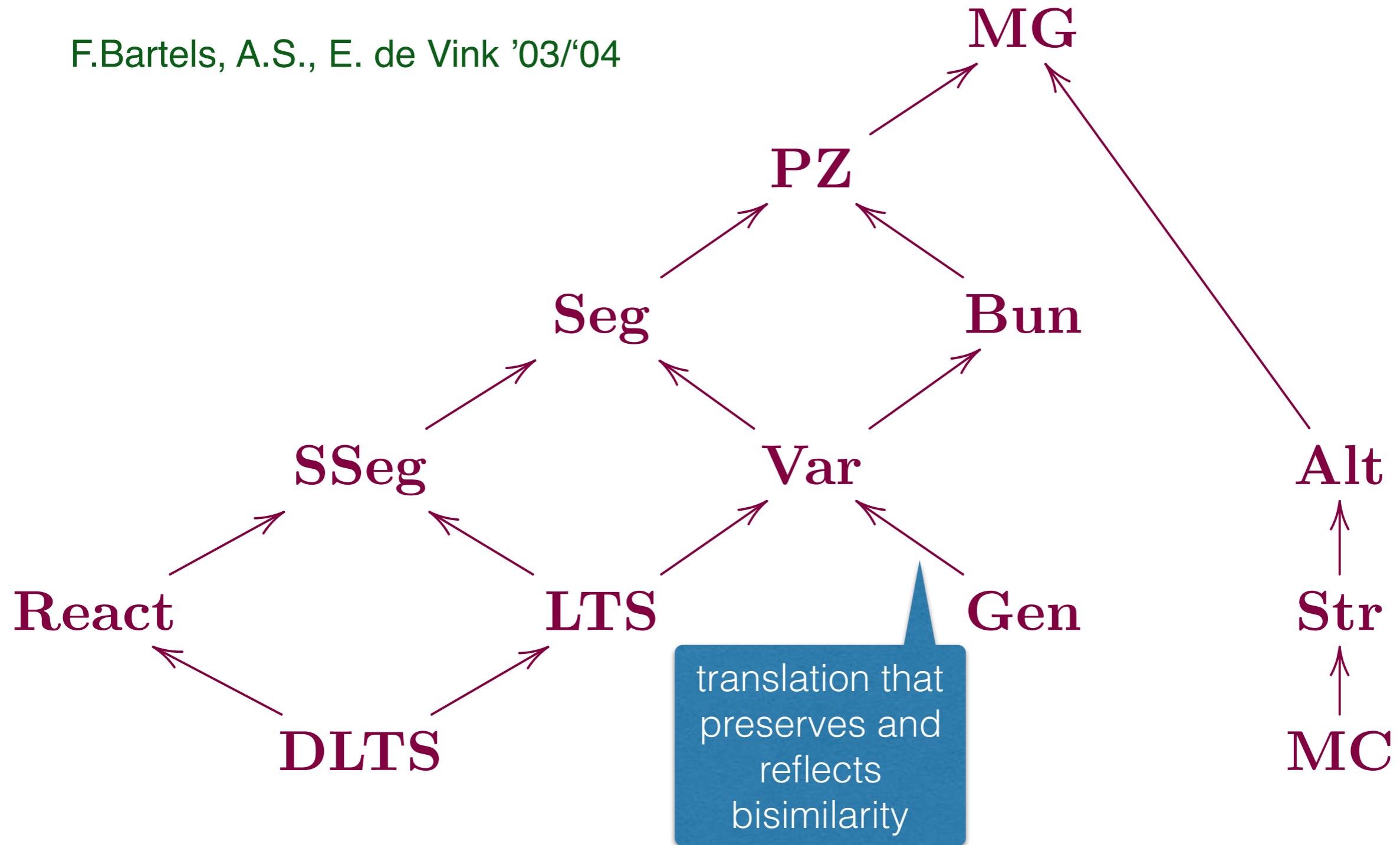
# Expressiveness hierarchy

F.Bartels, A.S., E. de Vink '03/'04



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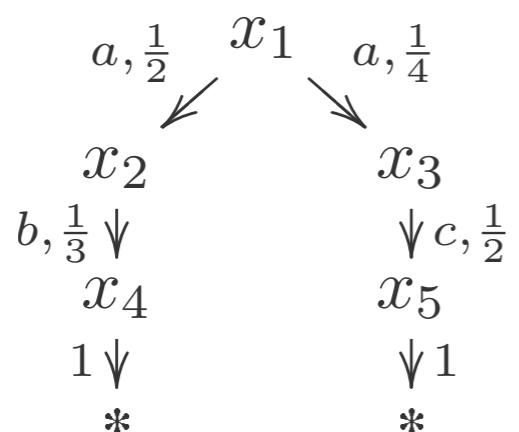


# Traces ?

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Generative PTS

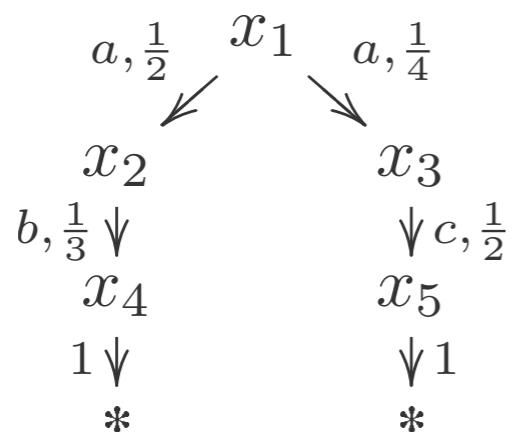
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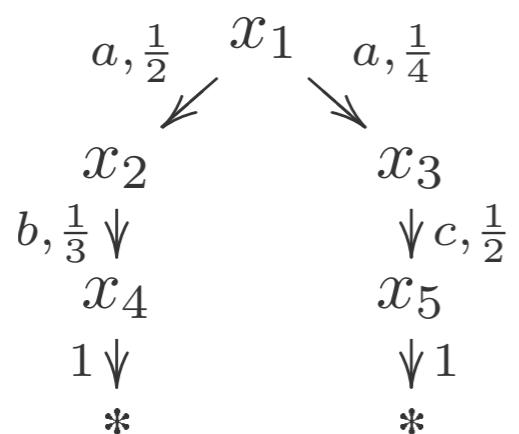


$$\text{tr}(x_1)(ab) = \frac{1}{6} \quad \text{tr}(x_1)(ac) = \frac{1}{8}$$

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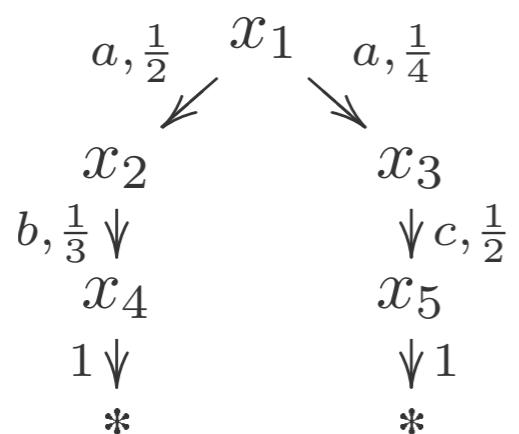
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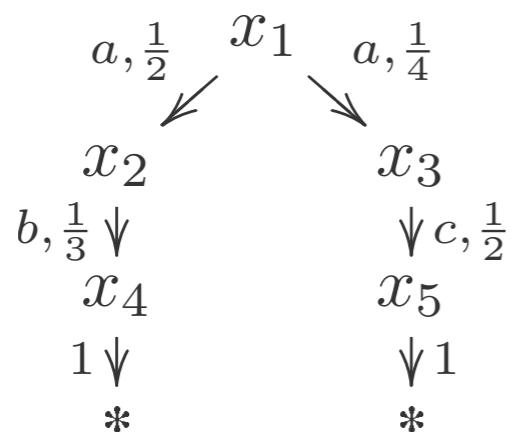
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$\mathcal{D}$  is a monad

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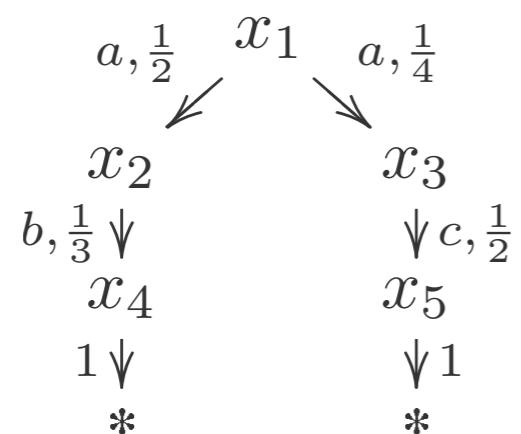
arrow in  $\mathcal{Kl}(\mathcal{D})$

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Generative PTS

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lifts to  $\mathcal{Kl}(\mathcal{D})$   
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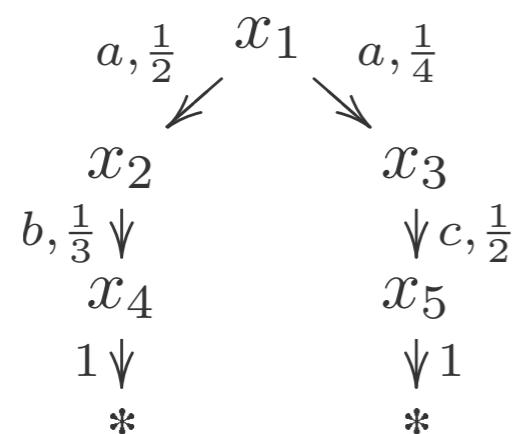
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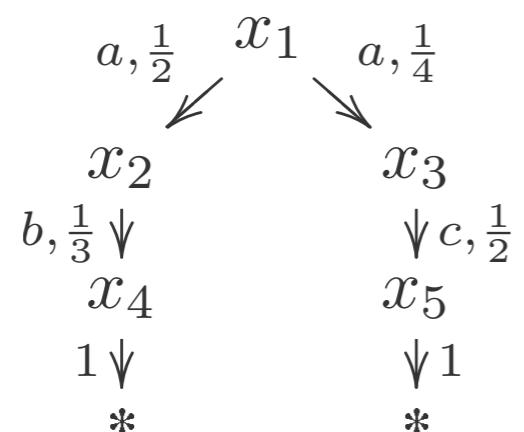
$$X \rightarrow \mathcal{D}(1 + A \times X) \rightarrow \mathcal{D}(1 + A \times \mathcal{D}(1 + A \times X)) \rightarrow \mathcal{D}^2(1 + A \times (1 + A \times X)) \rightarrow \mathcal{D}(1 + A \times X + A^2 \times X) \cdots$$

# Traces via determinisation

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Generative PTS

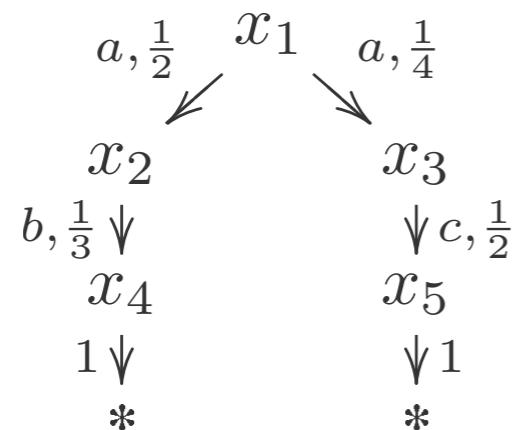
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Generative PTS

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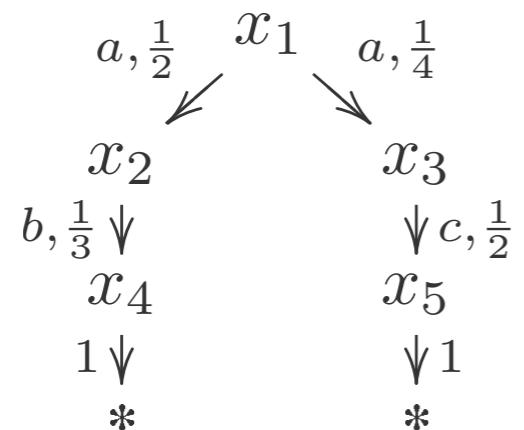


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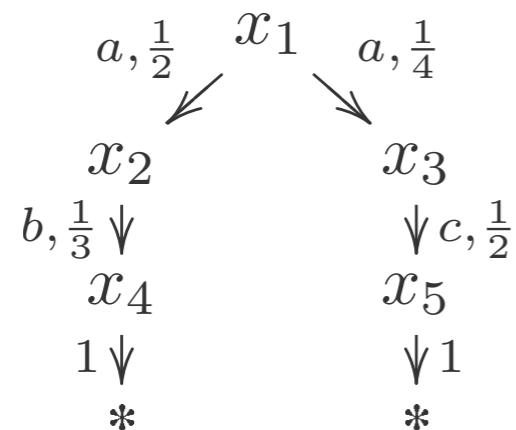
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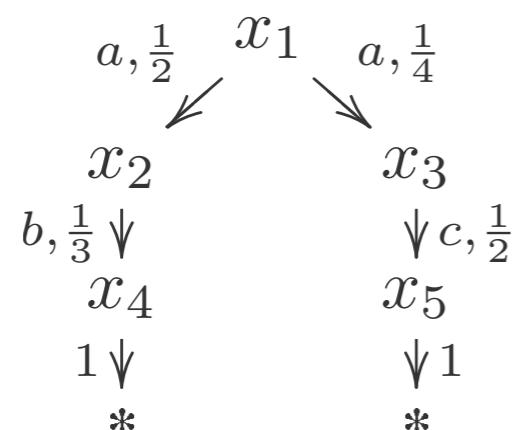
trace = bisimilarity after  
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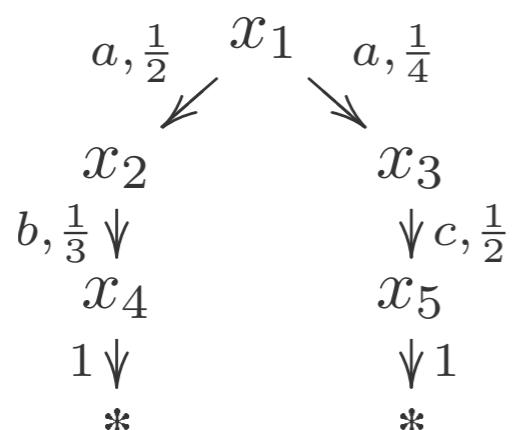
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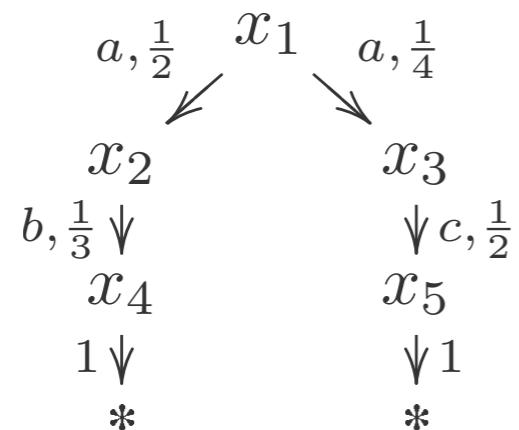
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# Traces via determinisation

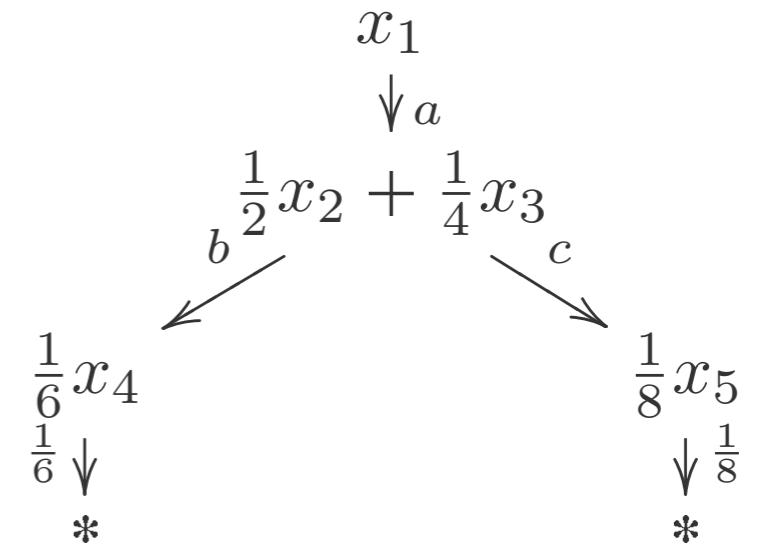
Generative PTS

$$\mathcal{D}(1 + A \times (-))$$



DFA

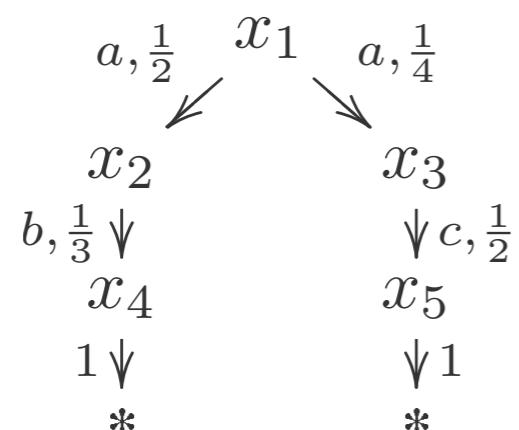
$$[0, 1] \times (-)^A \text{ states } \mathcal{D}(-)$$



# Traces via determinisation

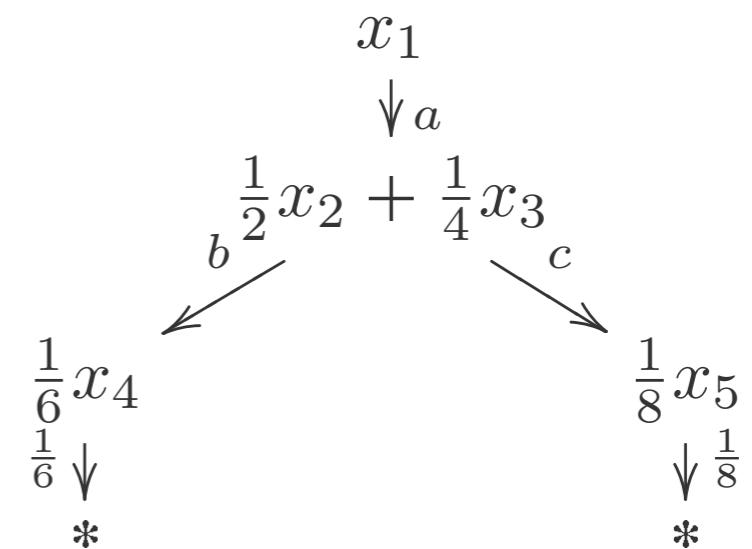
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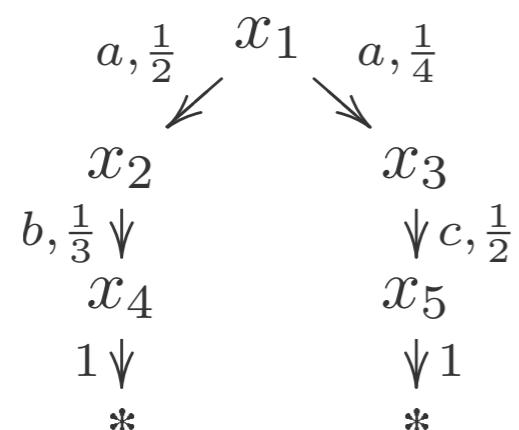


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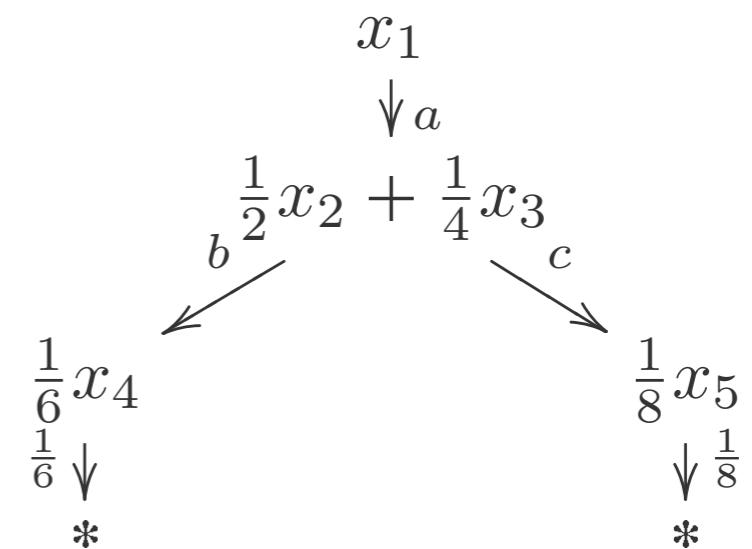
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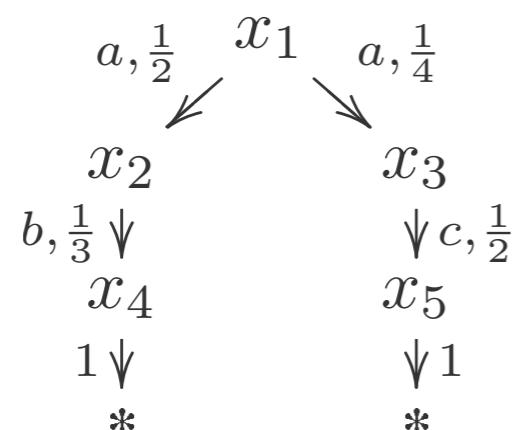
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Happens in  
 $\mathcal{EM}(\mathcal{D})$

# Traces via determinisation

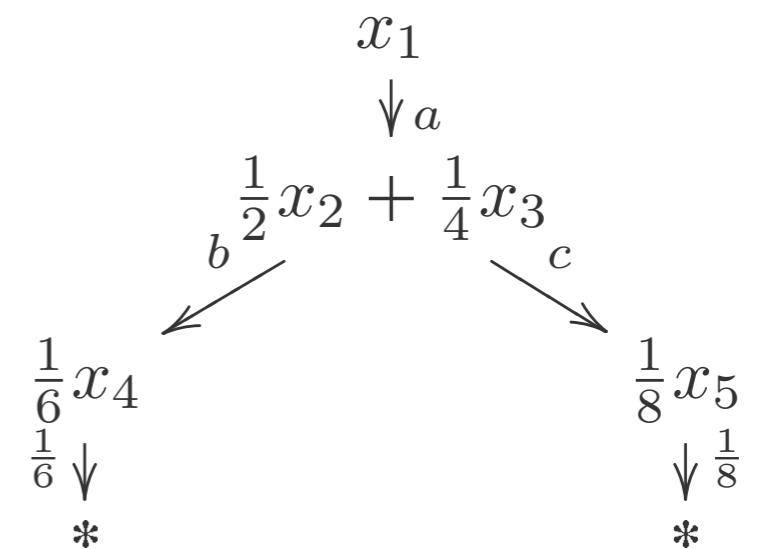
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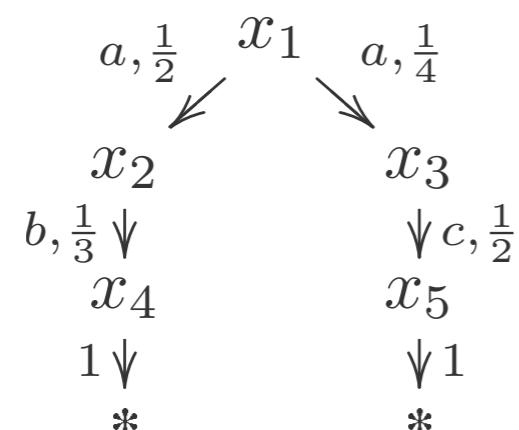
(positive)  
convex  
algebras

we recently generalised this  
to PA too

# Traces via determinisation

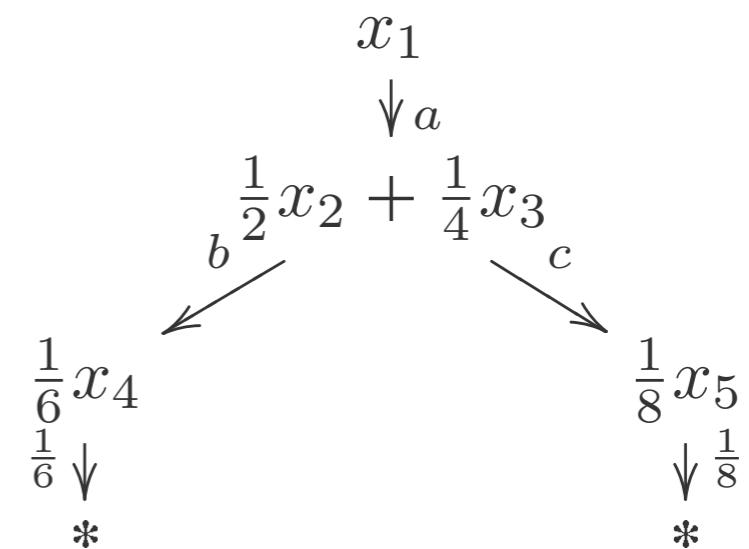
Generative PTS

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trace = bisimilarity after  
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Happens in  
 $\mathcal{EM}(\mathcal{D})$

(positive)  
convex  
algebras

# Trace axioms for generative PTS

Axioms for bisimilarity



$$p \cdot a \cdot (p_1 E_1 \oplus p_2 E_2) \equiv p_1 \cdot a \cdot p E_1 \oplus p_2 \cdot a \cdot p E_2 \quad (D)$$

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soundness and completeness !?

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Happens in  
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Happens in  
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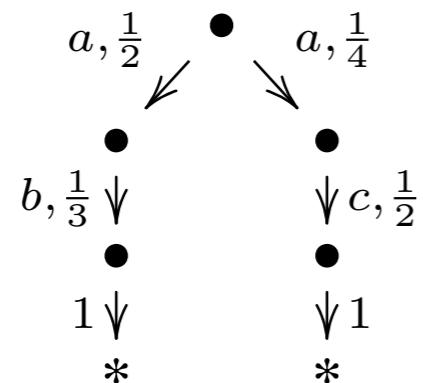
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# Trace axioms for generative PTS

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## Generative PTS

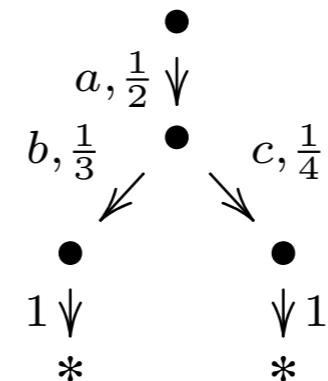
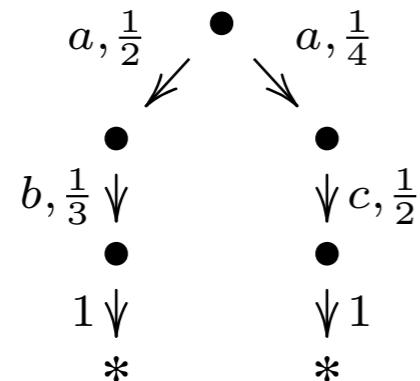
$\mathcal{D} (1 + A \times (-))$



# Trace axioms for generative PTS

## Generative PTS

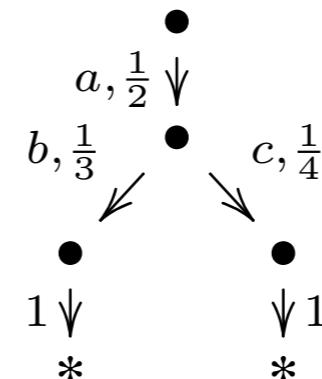
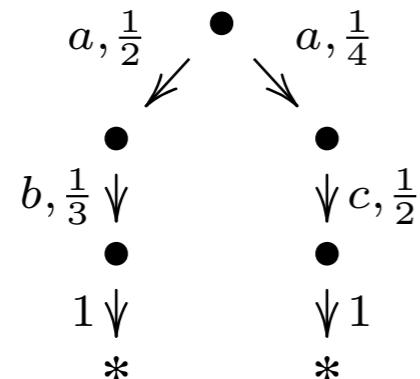
$$\mathcal{D} (1 + A \times (-))$$



# Trace axioms for generative PTS

## Generative PTS

$\mathcal{D}(1 + A \times (-))$

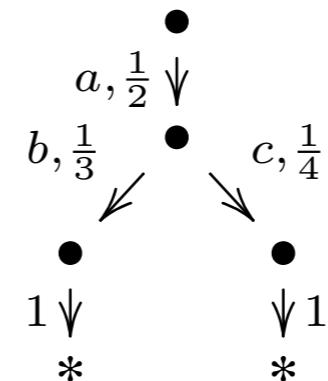
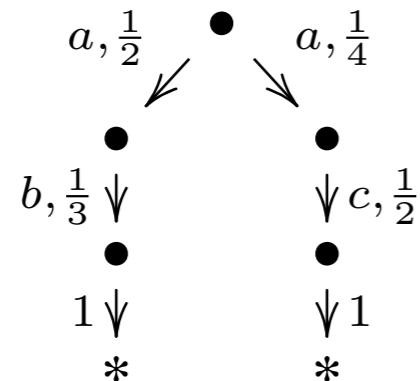


$$\left( \frac{1}{2} \cdot a \cdot \frac{1}{3} \cdot b \cdot 1 \cdot * \right) \oplus \left( \frac{1}{4} \cdot a \cdot \frac{1}{2} \cdot c \cdot 1 \cdot * \right) \stackrel{(Cong)}{\equiv} \left( \frac{1}{2} \cdot a \cdot \frac{1}{3} \cdot b \cdot 1 \cdot * \right) \oplus \left( \frac{1}{2} \cdot a \cdot \frac{1}{4} \cdot c \cdot 1 \cdot * \right)$$
$$\stackrel{(D)}{\equiv} \frac{1}{2} \cdot a \cdot \left( \frac{1}{3} \cdot b \cdot 1 \cdot * \oplus \frac{1}{4} \cdot c \cdot 1 \cdot * \right)$$

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$$\frac{1}{4} \cdot a \cdot \frac{1}{2} \cdot c \cdot 1 \cdot * \stackrel{(D)}{\equiv} \frac{1}{2} \cdot a \cdot \frac{1}{4} \cdot c \cdot 1 \cdot *$$

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# The quest for completeness

Inspired lots of new research:

- A. S., H. Woracek Congruences of convex algebras JPAA'15
- S. Milius Proper functors CALCO'17

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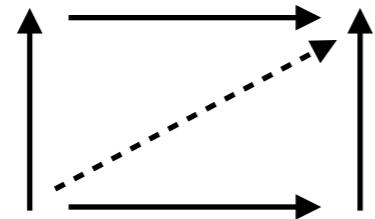
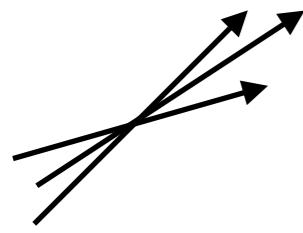
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our axiomatisation would  
be proven complete if  
only one particular  
functor  $\hat{G}$  on  $\mathcal{EM}(\mathcal{D})$   
were proper

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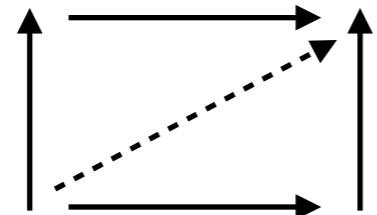
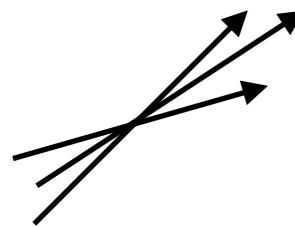
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# Part II

# Proper convex functors

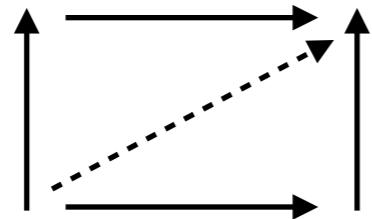
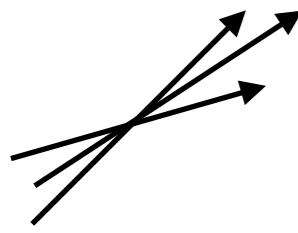


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# Part II

# Proper convex functors

the trace axioms  
can be proven  
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# Part II

# Proper convex functors

the trace axioms  
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complete!

very new nontrivial results !  
joint work with



Harald Woracek



# Proper functors

$\mathcal{EM}(\mathcal{T})$

beh.equivalence

A functor  $F$  on an algebraic category is proper, if

- for any two  $F$ -coalgebras with free f.g. carriers  $TX \rightarrow FTX$  and  $TY \rightarrow FTY$
- for any two points  $x$  in  $TX$ ,  $y$  in  $TY$  with  $\eta(x) \sim \eta(y)$

there is a zigzag of  $F$ -coalgebras with free f.g. carriers that relates  $x$  and  $y$

extends the notion of a  
proper semiring of  
Ésik and Maletti

a semiring  
 $S$  is proper iff  $S \times (-)^A$   
is proper

# Proper functors

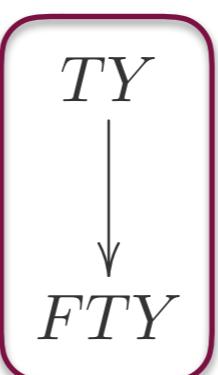
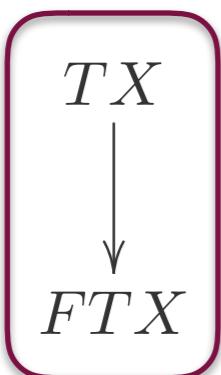
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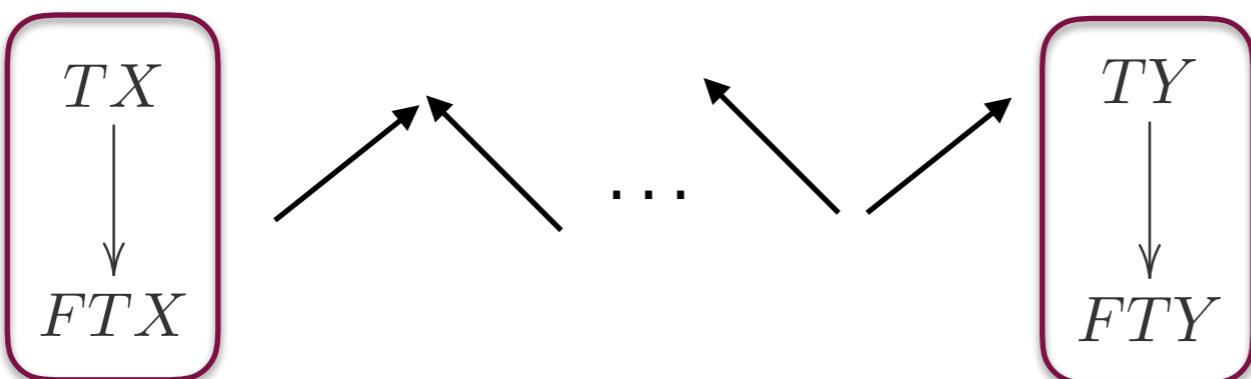
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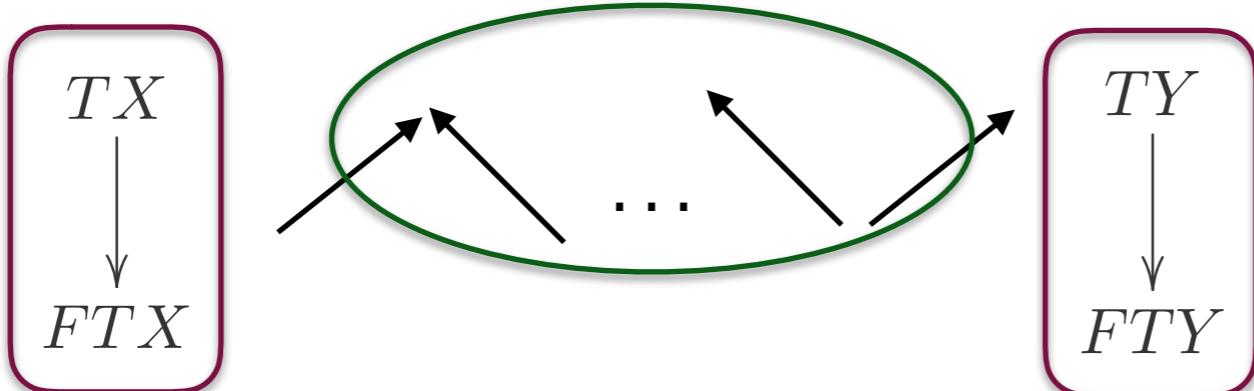
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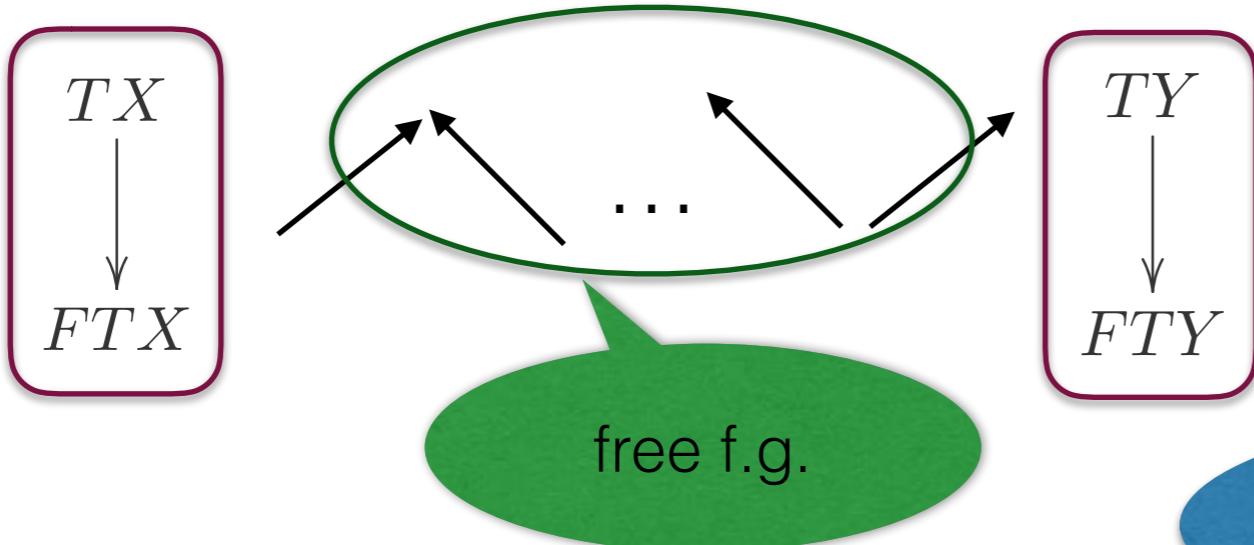
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Known

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Our results prove

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in all cases one span suffices

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↓  
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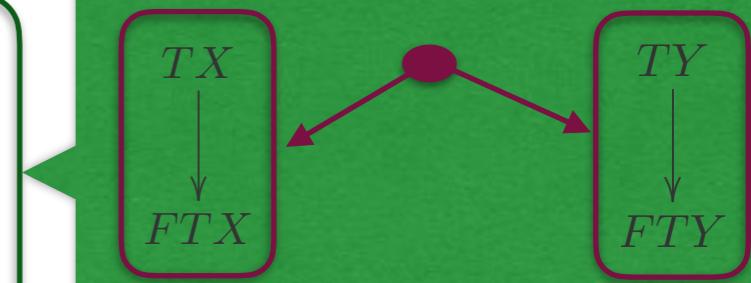
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via Kakutani fixed-point theorem

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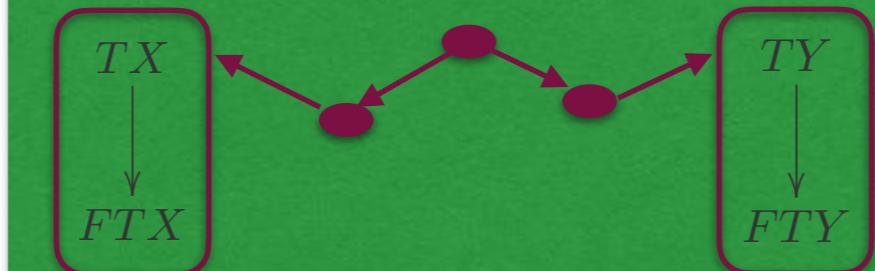
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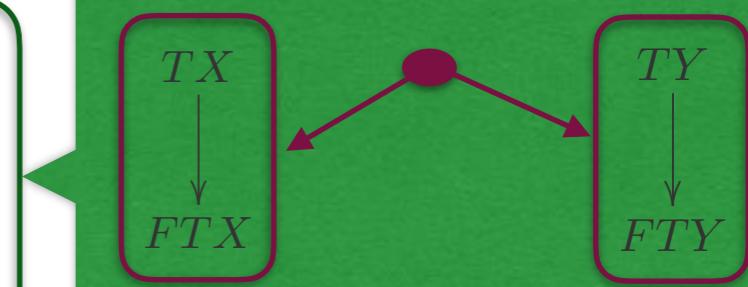
As well as the difficult case

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here a zigzag is needed



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# Proper functors

Our results prove

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Thank You !